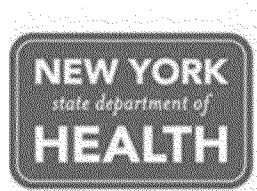
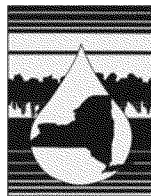


**STATE OF NEW YORK
DEPARTMENT OF HEALTH**



DRINKING WATER STATE REVOLVING FUND

**COMBINED BIENNIAL REPORTS FOR:
Federal Fiscal Years 2006 & 2007
&
Federal Fiscal Years 2008 & 2009**



December 2014

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1.0 INTRODUCTION

A Drinking Water State Revolving Fund (DWSRF) was created in New York State in 1996 as a result of New York State's enactment of the Clean Water/Clean Air Bond Act (Bond Act) and passage of the 1996 Amendments to the Federal Safe Drinking Water Act (SDWA). The DWSRF provides a significant financial incentive for municipal and privately owned public water systems to finance needed drinking water infrastructure improvements. This program provides market rate and below market rate financing to community water systems and non-profit, non-community water systems for the construction of eligible water system projects. For communities with demonstrated financial hardship, interest rates can be reduced to zero percent. In addition, in the event of severe financial hardship, additional financial assistance (subsidy in the form of grants) may be available. The program is administered jointly by the New York State Department of Health (DOH) and the New York State Environmental Facilities Corporation (EFC).

The SDWA Amendments provided a mechanism for New York State to receive federal capitalization grants to capitalize the DWSRF. The 1996 NYS Bond Act allocated \$265 million for drinking water infrastructure improvements, as well as to meet the federally mandated state match of at least 20% of the federal DWSRF allocation. Additionally, the Bond Act provided \$90 million for State Assistance Payments (grants), which were provided to communities with demonstrated financial hardship.

Since its inception in 1996, New York State's DWSRF program has received approximately \$1.31 billion in state and federal money and has executed \$4.24 billion in financings including approximately \$322 million in grants to disadvantaged communities. These financings assisted with 661 public drinking water infrastructure projects throughout New York State. This tremendous level of assistance was made possible through the revolving of loan repayments, use of interest earnings, the leveraging of resources and the efficient operation of the program. Historically, New York State has used only 16% of the federal grants for program administration and technical assistance even though up to 31% of the grants could be used thereby demonstrating the efficiencies of the program's administration. In addition, New York State continues to have one of, if not the lowest unobligated fund rate in the nation.

This report covers the operation of the DWSRF program for the Federal Fiscal Years (FFYs) 2006 through 2009 (October 1, 2005 to September 30, 2009). New York State was awarded the four DWSRF federal capitalization grants totaling approximately \$233 million during the reporting periods as shown in Table 1.

TABLE 1 - Federal DWSRF Capitalization Grants Awarded			
FFY	Grant #	Date Awarded	Amount
2006	99290506	9/20/2006	\$36,636,100
2007	99290507	9/26/2007	\$36,638,000
2008	99290508	8/13/2008	\$36,265,000
2009	99290509	9/30/2009	\$36,265,000
2009-ARRA*	97237809	9/01/2009	\$86,811,000
Total			\$232,811,000
*No ARRA projects were funded during the reporting periods associated with this report.			

These federal capitalization grants were the full amount of the FFYs 2006 through 2009 allotments available to the State of New York and were used to finance projects listed in the Intended Use Plans (IUPs) and for the management of the program. Monies from the NYS 1996 Clean Water/Clean Air Bond Act were used to provide, as applicable, federally mandated state matching funds of at least 20%. New York had deposited a total of \$265,000,000 into the fund during the early years of the program those funds are “banked” or credited to meet the ongoing state match requirements for the federal DWSRF grants.

During the reporting periods, the program executed over \$1.06 billion in financings to assist systems with public drinking water infrastructure projects including \$72.5 million in grants for disadvantaged communities as shown in Table 2.

TABLE 2 - Total Financings During Reporting Period				
FFY	Number of Loans/Grants	Loans	Grants	Totals
2006	52 / 20	\$235,914,933	\$21,344,069	\$257,259,002
2007	47 / 16	\$217,753,393	\$19,648,177	\$237,401,570
2008	43 / 13	\$357,429,246	\$17,575,555	\$375,004,801
2009	24 / 10	\$182,120,651	\$13,934,570	\$196,055,221
Totals	166 / 59	\$993,218,223	\$72,502,371	\$1,065,720,594
Notes: The total loans include \$252,648,799 in the refinancing of prior SRF debt to assist communities by providing lower interest rates. Exhibit 1 provides a list of systems that participated in financings during the reporting periods.				

This report describes how New York State met the goals and objectives identified in the four IUPs generated for FFYs 2006 through 2009. The report also describes program initiatives successfully instituted during the reporting period.

Additionally, this report contains information about the following DWSRF program elements during the reporting period: program fiscal status; IUP process; hardship program; set-aside activities; small water system funding requirements; state environmental review process and equivalency projects; compliance with specific conditions in the capitalization grants; audits; and set-aside distribution information.

2.0 DWSRF GOALS AND ACCOMPLISHMENTS

2.1 Short-term DWSRF Goals and Accomplishments

In the IUPs prepared for FFYs 2006, 2007, 2008, & 2009, six short-term goals were identified. All six goals, as described below, were met.

1. Continue to implement the DWSRF program for the State of New York. The DOH and EFC met the federal SDWA statutory requirements for the DWSRF program by adopting regulations in 1997 that establish the provisions and procedures under which financial assistance is provided to public water systems. The DOH regulation (10 NYCRR Part 53) includes the project Priority Ranking System which is used to rank projects eligible for funding and the Affordability Criteria which is used to determine whether communities qualify for financial hardship under the DWSRF program. The EFC regulation (21 NYCRR Part 2604) covers the project financing requirements of the DWSRF program. The DOH and EFC implement the DWSRF program according to the adopted regulations.
2. Receive project Listing Forms, revise existing listed projects, score and rank all projects based on public health risk and compliance with the SDWA.

All projects listed in the IUPs are ranked based on public health priority. The Priority Ranking System was developed to establish the highest priority for projects that pose the most serious risks to public health. In New York State, the highest priority is given to acute public health risks, particularly those related to microbiological organisms. The next priority is given to conditions that pose chronic and longer-term risks to consumers, such as organic chemical contamination. The priority ranking system also incorporates considerations for the reliability of the public water system, financial, technical, and managerial viability and median household income. The total number of projects listed in the IUPs is shown in Table 3.

Table 3- Projects Listed in the Intended Use Plan		
FFY	Number of IUP listed Projects	Amount Listed
2006	1438	\$4,555,778,331
2007	1443	\$4,336,334,640
2008	1410	\$4,415,061,607
2009	1435	\$4,199,974,993
*2009 w/ ARRA & Updates	1372	\$5,559,688,536
*2009 differences are attributed to a call for ARRA projects after the finalization of the 2009 IUP along with updating the lists for both ARRA and non-ARRA projects. A supplemental IUP for ARRA was developed and released on June 17, 2009.		

3. Make available short-term financing to help accelerate project development and for construction. During the reporting period, the DOH and EFC executed \$300,636,208 in in short term financings and grants including 61 loans totaling \$228,133,776 and 59 grants to disadvantaged communities totaling

\$72,502,371. The total Short Term Financings and grants are summarized in Table 4.

Table 4- Summary of Short Term Loans & Grants				
FFY	Short Term Loans/Grants	Loans	Grants	Totals
2006	21/20	\$48,858,251	\$21,344,069	\$70,202,341
2007	12/16	\$48,092,541	\$19,648,177	\$67,740,730
2008	18/13	\$61,777,163	\$17,575,555	\$79,352,736
2009	10/10	\$69,405,821	\$13,934,570	\$83,340,401
Totals	61/59*	\$228,133,776	\$72,502,371	\$300,636,208
Note: The total counts for Loans and Grants are not mutually exclusive in that sub-recipients may have received both. Exhibit 1 provides a list of systems that participated in financings during the reporting periods.				

4. Make available long-term financing for projects in public health priority order, with consideration of project affordability. All projects listed in the IUP are in public health priority order. Eligible high public health priority projects located in hardship (disadvantaged communities) can compete for additional subsidization in the form of grants and if successful they are placed at the top of the readiness list and given the highest priority. The criteria for hardship application eligibility is detailed in Section 7 of the IUPs. In addition, non-hardship DWSRF project funding is also provided based on public health priority for the provision of reduced interest rate financing. Projects that are lower in public health priority may still receive financing either through the bypass process (see section 5.3) or through the market rate financing program. The market rate financing program allows for any DWSRF eligible project, regardless of public health priority, to be financed without impacting the DWSRF resources because market rate bonds are sold and 100% of the interest is paid back to the program for the outstanding bonds. However, market rate financing is based on a first come first served basis until available thresholds are met. The benefit of the market rate program is that it helps public water systems to make upgrades that may be a lower public health priority and therefore contributes to a system's technical, managerial, and financial capacity.

During the reporting period, the DOH and EFC executed 96 long-term financings totaling \$765,084,447. The total long term financings are summarized in Table 5.

Table 5- Summary of Long Term Financings		
FFY	No. of Long Term Financings	Total Loan Amounts
2006	31	\$187,056,682
2007	35	\$169,660,852
2008	25	\$295,652,083
2009	14	\$112,714,830
Totals	105	\$765,084,447
Note: Exhibit 1 provides a list of systems that participated in financings during the reporting periods.		

5. Make available loans and/or financial assistance payments (grants) to help small public water systems and disadvantaged communities finance needed projects.

Small Systems: Since the inception of the DWSRF program DOH has consistently exceeded the requirement of providing a minimum of 15% of capitalization grant dollars to systems with a population of 10,000 people or less. The data is routinely reported and tracked in the EPA DWSRF National Information Management System (NIMS) system. The total assistance provided to small systems and as reported for the FFYs 2006 through 2009 into the US EPA NIMS reporting system are summarized in Table 6.

Table 6 - Assistance to Small Systems by Population Range				
Population	2006	2007	2008	2009
Less than 501	\$19,560,484	\$54,674,441	\$25,193,478	\$26,528,796
501 to 3,300	\$42,971,970	\$74,930,440	\$61,282,471	\$53,417,108
3,301 to 10,000	\$10,849,48	\$15,516,260	\$25,765,785	\$9,589,316
Totals	\$62,532,454	\$145,121,141	\$112,241,734	\$89,535,220
% of Cap. Grant (Must be >15%)	171%	396%	310%	247%
Note: The total dollar amounts may not reconcile with amounts in Exhibit 1 due to the different reporting year time. A copy of the EPA NIMS report is included in Exhibit 2				

Disadvantaged Communities: The DWSRF Hardship Program has been in place since the inception of the program in 1996. Since the first DWSRF financing in 1997, this successful program has provided over \$322 million in grants and over \$342 million loans (mostly 0% interest) for disadvantaged communities. The DWSRF Hardship Program was providing additional subsidization for disadvantaged communities well before it became a federal requirement in 2009. For FFY's 2006 through 2009, the DWSRF Hardship Program provided over \$236 million in financings to disadvantaged communities including over \$164 million in mostly 0% interest loans and over \$72 million in grants.

6. Make available emergency financing to allow for emergency repairs when no other funding source is available to the eligible public water system.

There were no emergency financings during this reporting period.

By achieving these short-term goals, the DWSRF program was able to assist public water systems in New York to improve drinking water quality, quantity, and dependability, protect public drinking water supplies, enhance capacity development, and successfully attain and maintain compliance with drinking water requirements.

2.2 Long-term DWSRF Goals and Accomplishments

In the four IUPs prepared during FFYs 2006 through 2009, the DOH and EFC described the long-term goals to be implemented. Progress was made toward successful attainment of these goals as described below:

2.2.1 Help public water supplies achieve and maintain compliance with federal and state drinking water standards and enforceable requirements of the SDWA and the New York State Sanitary Code.

In accordance with its regulations, DOH funds projects in order of public health priority and that Priority Ranking System is centered on assisting water supplies to achieve and maintain compliance with federal and state drinking water standards and enforceable requirements of the SDWA and the New York State Sanitary Code. Details regarding overall compliance categories for projects funded are provided each year in the US EPA NIMS report as well as in the USEPA PBR report beginning in FFY 2009. A copy of the Priority Ranking System is available as Attachment 1 of the IUP and can be viewed at: <http://www.efc.ny.gov/dwiup>

2.2.2 Protect and enhance New York's public drinking water supplies.

The DWSRF projects financed during FFYs 2006 through 2009 addressed the types of public water system reliability and/or dependability issues as shown in the excerpt below from page 14 of the EPA NIMS report which is included in Exhibit 2.

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:			
	2006	2007	2008	2009
Drinking Water System Project Assistance (Dollars in each category)				
151 Planning and Design Only	\$0	\$20,843,132	\$0	\$0
Construction				
152 Treatment	\$37,982,467	\$59,928,621	\$135,311,688	\$25,808,736
153 Transmission & Distribution	\$115,863,260	\$107,791,391	\$208,052,564	\$119,075,032
154 Source	\$8,198,699	\$7,997,207	\$8,321,711	\$4,043,391
155 Storage	\$10,508,862	\$13,024,845	\$20,107,455	\$41,573,490
156 Purchase of Systems	\$2,153,255	\$6,162	\$0	\$0
157 Restructuring	\$0	\$0	\$0	\$0
158 Land Acquisition	\$400,663	\$695,216	\$291,504	\$1,137,153
159 Other	\$6,989,211	\$9,367,163	\$13,180,753	\$19,014,474

2.2.3 Operator Certification Program

During the reporting period, the DOH assured that public water systems were run by competent operators by certifying 1495 new operators, renewing 6201 operator certifications, reviewing and endorsing 170 operator certification courses, and reviewing and approving 3446 operator renewal training courses.

2.2.4 Source Water Protection Program

Source water assessments were completed in 2002 and 2003 in collaboration with field staff. The assessments allow for prioritization of resources for source water protection. Source water protection is one of the barriers in the multiple barrier approach to protection of drinking water quality. Source water assessments have been completed for most public water system sources, but assessments are needed for new drinking water sources developed since this effort. New drinking water sources (wells, intakes, springs, etc.) must be evaluated so that appropriate measures can be taken to protect source water quality and quantity. DOH requires that a report of the source water assessment completed by the applicant be submitted with the application for approval of a new source along with the water system plans and specifications. A summary of the assessment information is included in Annual Water Quality Reports, prepared each year by community public water systems.

In addition, the DOH continues to assess whether community groundwater systems may be under the influence of surface water (GWUDI).

2.2.5 Administer the DWSRF in a manner that will assure its revolving nature in perpetuity.

The State has imposed strict safeguards on DWSRF funds to ensure the funds will remain secure and available for future loans, including:

- Requiring annual independent audits of financial statements and public authorities law compliance reports.
- When DOH receives federal capitalization grants and state matching funds for the DWSRF, the funds are invested by EFC in secure obligations, as required by state law.
- When DWSRF senior leveraged loans are made, DWSRF funds, equal to one-third, are generally invested in an Investment Agreement (IA). Typically, IAs are collateralized by direct obligations of the U.S. Government. Each IA entered into during this reporting period requires that the collateral be maintained at not less than 110 percent of invested funds.
- DWSRF funds used to finance direct loans and to fund debt service reserve funds are recycled. With respect to direct loans, loan repayments (principal and interest) become available for new lending activity, such that DWSRF

funds are continually freeing up to support additional DWSRF-eligible projects. Senior revenue bonds issued by EFC to finance DWSRF loans are supported by debt service reserves funded with DWSRF funds. For every \$3 in loans made from bond proceeds, \$1 in DWSRF funds are deposited in debt service reserves and invested in a collateralized IA. As these loans amortize, DWSRF funds deposited in the debt service reserve free up and become available to support additional eligible projects.

- In general, EFC loans bond sale proceeds to municipalities in return for a general obligation or revenue pledge from the municipal entity for the amount of the loan. The schedule of repayment on the municipal bonds provides sufficient cash flow to meet the repayment schedule for the EFC bonds.
- As senior leveraged loans are repaid, the \$1 of federal and state capitalization funds held in reserve for each \$3 in loans is freed-up to be invested again to leverage new loans or to make new direct loans. This process assures that loan money will continue to revolve in perpetuity for use in future loans. However, if needed, money freed-up as one borrower repays its loan can be used to temporarily make up for defaulted payments from another borrower. The money once again becomes available for new loans when the defaulting borrower makes up for the default through additional payments or the transfer of its state aid to the DWSRF.
- If a general obligation borrower defaults on repayment of its loan, EFC must call on the State Comptroller to intercept State assistance payments, other than school aid, that have been previously earmarked for the municipality. These payments would then be used to repay the DWSRF for any funds used to cover the default. It should be noted that there has never been a default on a DWSRF financing.

2.2.6 Assist public water supplies to improve drinking water quality, quantity and dependability by providing reduced interest rate long-term loans and/or financial assistance payments (additional subsidy in the form of grants).

Lists of the projects that received short-term financing, long-term financing, and/or financial assistance payments and closed during the reporting period are shown in Exhibit 1. Financial assistance payments, in the form of Federal Assistance Payments from a portion of the federal DWSRF capitalization grant, were awarded as additional subsidy to disadvantaged communities with median household incomes less than the state wide average who demonstrated that an interest free loan did not provide sufficient funding to be affordable based on the prescribed target service charge.

3.0 PROGRAM INITIATIVES

During the reporting period, several programmatic changes were made that improved and enhanced services and deliverables for applicants and borrowers. Two of the major initiatives were:

- Beginning in FFY 2008, The DWSRF began offering a new financing program called the SRF Bond Market Rate Program. The program offers eligible recipients with project scores below the funding line on the Project Readiness List access to a low cost financing alternative that does not include the traditional interest subsidy. For eligible recipients with project scores above the funding line, this program will be offered in conjunction with traditional subsidized financing.
- Beginning in FFY 2009, the DWSRF began offering a new financing program for municipalities called Short-Term Market Rate Financing. This financing program makes available to applicants with projects that are not eligible for DWSRF subsidized funding and also to applicants who require additional short-term financing resources beyond the subsidized funding amount otherwise available. Applications for the Short-Term Market Rate Financing are accepted on a continuous basis.

4.0 DWSRF FISCAL STATUS

As of September 30, 2009 New York State has been awarded a total of 14 Federal DWSRF Capitalization Grants totaling \$735,542,300 (including ARRA). The minimum state match has been met through the NYS Bond Act funds that were provided in excess of the 20% minimum amount required under the federal SDWA and that have been credited (banked) against future state match. New York State exceeds the required binding commitment rate as evidenced and tracked yearly in the EPA NIMS report. The program receives annual independent audits with respect to its financial condition. Copy of the Public Authorities Law Compliance Reports, audited financial statements, and auditor reports are maintained on the EFC website for up to three years. In accordance with the Federal and State Paper Work Reduction Acts copies of the documents are not included in this report but are available upon request.

5.0 IUP PROCESS

During the reporting period, the DOH produced and published four IUPs. For all four funding periods, a draft IUP was issued for public comment and published in the NYS Environmental News Bulletin and on DOH's website. A public comment period accompanied the publication of the draft IUPs. In addition, public webinars were held during the comment periods to solicit public comments. A responsiveness summary that addressed all public comments was included in each final IUP.

5.1 Assistance to eligible systems

Drinking water systems that were eligible for project funding under the DWSRF were community water systems, both municipally and privately owned, and non-profit, non-community water systems.

Drinking water projects that were eligible for DWSRF financing included investments to upgrade or replace infrastructure needed to achieve or maintain compliance with federal or state health standards and to provide the public with safe affordable drinking water.

Projects submitted by systems that were in significant non-compliance or that lacked technical, managerial or financial capacity were not eligible for funding unless the proposed project ensured system compliance and/or capacity. Also, projects whose primary purpose was fire protection or growth/development, dams and reservoirs, or acquisition of land not integral to an eligible project were not eligible for funding. A list of sub-recipients that that received financing during this reporting period for drinking water infrastructure improvements is presented in Exhibits 1.

5.2 Project priority ranking criteria

The DWSRF priority ranking system establishes a list of eligible projects to be funded in a manner consistent with the SDWA such that the most serious risks to public health are given the highest priority. The highest priority is given to acute public health risks, particularly those related to microbiological organisms. The next priority is given to situations that pose chronic and longer term risks to consumers, such as organic chemical contamination. The scoring criteria also consider issues that are related to infrastructure upgrading or replacement. Further, the project ranking system considers, as mandated by the SDWA, special allocations and restrictions on the use of DWSRF monies for disadvantaged and small systems. The numerical scores in the DWSRF priority ranking system are consistent with these priorities. Finally, the criteria establish the procedures for by-passing priority projects on the IUP. A copy of the Priority Ranking System is contained in Attachment 1 of the IUP and can be viewed at: <http://www.nysefc.org/dwiup>

5.3 Project By-passing

Attachment I of the IUP explains the bypass process as follows:

Projects on the Project Readiness List can be by-passed, upon written notice, if any of the following occurs:

- Project is withdrawn by the applicant.
- Project does not meet the dates and/or conditions in the project schedule or the Project Financing and Loan Agreement.
- The applicant has reached the 50% annual DWSRF resources cap for fundable projects on the Project Readiness List. All other projects for the applicant that would exceed the 50% cap will be by-passed.

For these reporting periods, the bypass provisions were not exercised.

6.0 HARDSHIP PROGRAM

The New York State Bond Act provided \$90,000,000 for State Assistance Payments (grants) to provide direct financial hardship assistance payments for projects in disadvantaged communities. As of December 1998, the \$90 million was fully committed. Therefore, since that time the DOH and EFC regularly exercised the federal SDWA Hardship Provision that allowed states to utilize up to 30% of their annual DWSRF Federal Capitalization Grant to provide Additional Loan Subsidies (Federal Assistance Payments or grants) beyond the interest free loan rate to eligible disadvantaged communities. This was done each year except for FFY 2009 in that no new hardship projects were awarded commitments for additional subsidy that FFY year.

The DWSRF Hardship Program has been in place since the inception of the program in 1996. Since the first NYS DWSRF financing in 1997, this successful program has provided over \$322 million in grants and over \$342 million loans (mostly 0% interest) for disadvantaged communities. The DWSRF Hardship Program was providing additional subsidization for disadvantaged communities before it became a federal requirement in FFY 2009. For FFY's 2006 through 2009, the DWSRF Hardship Program provided over \$236 million in financings to disadvantaged communities including over \$164 million in mostly 0% interest loans and over \$72 million in grants.

A detailed description of the disadvantaged community hardship program including the types of financial hardship assistance that are available to hardship communities, eligibility requirements for hardship assistance, method for making hardship determinations, the hardship application process, and the confirmation of hardship availability is included in Section 7 of each IUP.

7.0 SMALL SYSTEM REQUIREMENTS

The federal SDWA Amendments of 1996 require that, on an annual basis, water systems serving fewer than 10,000 persons must receive a minimum of 15% of the DWSRF federal capitalization grant.

Since the inception of the DWSRF program, DOH has consistently exceeded the requirement of providing a minimum of 15% of capitalization grant dollars to systems with a population of 10,000 people or less. The data is routinely reported and tracked in the EPA NIMS system. Table 6 summarizes the reported assistance to small systems during the FFY's 2006 through 2009.

8.0 STATE ENVIRONMENTAL REVIEW PROCESS (SERP)

DOH conducted environmental reviews on all DWSRF projects in accordance with the SERP (Attachment 7 to the Operating Agreement) approved by the EPA Region 2. In addition, DOH has identified from the list of funded projects the "Equivalency Projects" whose cumulative funding exceeds the amount of the capitalization grant. Any funding of "Equivalency Projects" over the capitalization grant will be banked to meet future requirements.

The selection of “Equivalency Projects” was consistent with the Federal DWSRF guidelines (EPA 816-R-97-005 February 1997 and 63 FR 59844 November 5, 1998) and the SERP. The “Equivalency Projects” include:

- projects for which an environmental review consistent with the National Environmental Policy Act (NEPA) has been completed in compliance with the federal cross-cutting authorities (cross-cutters);
- projects which will be jointly funded with federal agencies such as the United States Department of Agriculture Rural Development (USDA RD) and the United States Department of Housing and Urban Development (HUD). These projects have already completed a NEPA review and complied with the necessary cross-cutters. This approach is consistent with the Joint Memorandum, dated April 3, 1997, between the EPA, the USDA RD, and HUD regarding cooperation and coordination; and
- projects for which the environmental review can be considered functionally equivalent to a NEPA review and comply with federal cross-cutter requirements.

In addition, all non-equivalency projects undergo the State Environmental Quality Review process.

9.0 SET-ASIDE ACTIVITIES

Section 1452 of the SDWA authorizes states to use a portion of the federal capitalization Grant (set-asides) to support various drinking water programs. Section 1452 allows up to 31% of a state’s federal Capitalization Grants to be used for administrative activities, technical assistance activities, state program management activities, and special activities. During FFYs 2006 through 2009 New York State used only 16% of the allowed 31% of the Capitalization Grants for set-aside purposes. The State maximized the use of Capitalization Grant monies for water supply infrastructure projects. Therefore, only those funds considered essential for DWSRF and water supply program support have been allocated to set-aside activities. Any unused set-aside monies can be transferred to the project fund after receiving an approved amendment to the Capitalization Grant and during the reporting period this option was not exercised. The Intended Use Plans for FFYs 2006-2009 provided details regarding the intended use and allocations of set-asides. Descriptions of how set-aside monies were budgeted were included in the intended use plans, in the federal capitalization grant application set-aside work plans, and in the annual Performance Status Reports for Technical Assistance and State Management Set-asides. Copies of those IUPs, work plans, and annual performance status reports are available upon request. The acceptable activities for set-asides are described below and a summary of the actual set-asides as awarded is shown in Table 7.

9.1 Administrative

The SDWA authorizes states to use up to 4% of the total annual federal Capitalization Grant (as provided in Section 130 of the SDWA Amendments of 1996) to support the cost of administering the DWSRF program. The State allocated 4% of the total annual federal Capitalization Grants for administrative

activities. Administrative tasks include, but are not limited to: developing and finalizing the Capitalization Grant application package to secure federal funds; implementing the Operating Agreement (OA) between the DOH and the EPA; performing technical project reviews and ranking project proposals in priority order; preparing an IUP which identifies available funding resources and expenditures and establishes current year and multi-year project priority lists; implementing a State Environmental Review Process; reviewing and approving engineering reports, construction plans and specifications; conducting project inspections; evaluating and determining specific project affordability (hardship); conducting public participation efforts; conducting database management activities; establishing DWSRF accounts; directing the investment of DWSRF funds; preparing project financing agreements (including cost summaries and project financing schedules); preparing necessary financial documents; issuing Corporation Bonds and originating financing; establishing annual reserve allocations; establishing disbursement schedules; processing disbursement requests and conducting associated document reviews; collecting and managing repayments; establishing and collecting DWSRF fees; insuring program and fiscal audits are conducted; and preparing technical and financial reports to meet federal and state mandates.

9.2 Technical Assistance

Section 1452(g)(2)(D) of the SDWA authorizes states to use up to 2% of the total annual federal Capitalization Grant to provide technical assistance to small water systems (those with populations of 10,000 or fewer). The State allocated 2% of the total annual federal Capitalization Grants for administrative activities. This technical assistance may include assistance to potential DWSRF applicants complying with federal and state drinking water regulations. The State used 2% of the total annual federal Capitalization Grants to continue to fund the enhancement of the State's existing Small Water Systems and Comprehensive Performance Evaluation Programs and provide for direct technical assistance to small water systems.

9.3 State Program Management

The SDWA authorizes states to take up to 10% of the total annual federal Capitalization Grants to support state program management activities. The State allocated 10% of the total annual federal Capitalization Grants to administer the Public Water System Supervision (PWSS) program. States are required to match these set-asides on a one-for-one basis above and beyond the 20% match required of all capitalization funds. This amount was matched with in-kind services associated with the State's existing Public Water System Supervision (PWSS) and Drinking Water Enhancement Programs.

9.4 Special Activities

Section 1452(k) of the SDWA authorizes states to take up to 15% of the annual federal Capitalization Grants for land acquisition, capacity development projects, wellhead protection, and source water petitions. No more than 10% of the federal

Capitalization Grant can be allotted to any one of these special activities. For FFYs 2006-2009 New York State did not allocate or use any the Capitalization Grants for special activities set-asides.

Table 7 - Summary of Set-Asides Allocations for FFYs 2006-2009					
FFY	EPA Cap Grant Awarded	Set-Asides Uses and Amounts as Awarded			
		Administration 4% of Cap Grant	Technical Assistance 2% of Cap Grant	State Program Management 10% of Cap Grant	Special Activities
2006	\$36,636,100	\$1,465,444	\$732,722	\$3,663,610	\$0
2007	\$36,638,000	\$1,465,520	\$732,760	\$3,663,800	\$0
2008	\$36,265,000	\$1,450,600	\$725,300	\$3,626,500	\$0
2009	\$36,265,000	\$1,450,600	\$725,300	\$3,626,500	\$0

10.0 COMPLIANCE WITH CAPITALIZATION GRANT CONDITION

The DOH agreed to all assurances and certifications in the Operating Agreement and Capitalization Grant Agreements. All assurances and certifications in the Operating Agreement, as well as the FFY's 2006 through 2009 grant conditions have been met. This is supported by the EPA's Program Evaluation Reports that were prepared after detailed on-site program reviews by the EPA and their contractors. Copies of the Program Evaluation Reports are available upon request.

EXHIBIT 1

PROJECTS RECEIVING FINANCIAL ASSISTANCE

Exhibit 1- Systems Receiving Financial Assistance FFYs 2006-2009					
Closing Date	Subrecipient	County	Loan Amount	Grant Amount	Term
10/6/2005	Louisville	St. Lawrence	\$4,121,153	\$1,173,388	S
10/12/2005	NYCMWFA	New York	\$52,238,502	\$0	L
11/3/2005	Wellsburg	Chemung	\$1,356,905	\$0	L
11/17/2005	Ellisburg	Jefferson	\$1,321,646	\$34,166	L
12/1/2005	Little Falls	Herkimer	\$5,857,686	\$0	L
12/22/2005	Moriah	Essex	\$5,092,940	\$0	L
2/16/2006	Andes	Delaware	\$250,000	\$0	S
3/2/2006	Scriba	Oswego	\$6,370,711	\$0	L
3/9/2006	Mohawk	Herkimer	\$3,814,000	\$0	S
3/9/2006	Savona	Steuben	\$3,060,090	\$2,000,000	S
3/16/2006	Huron	Wayne	\$821,100	\$0	S
3/30/2006	Forestport	Oneida	\$150,000	\$0	L
3/30/2006	Wilna	Jefferson	\$159,350	\$656,250	L
3/30/2006	Lowville	Lewis	\$1,193,695	\$1,607,514	S
4/6/2006	Brownville	Jefferson	\$1,319,695	\$305,898	L
4/6/2006	Marathon	Cortland	\$679,686	\$697,500	L
4/6/2006	Campbell	Steuben	\$2,096,988	\$795,312	S
4/6/2006	Davenport	Delaware	\$205,750	\$617,250	S
4/6/2006	Milo	Yates	\$2,007,588	\$1,718,662	S
4/6/2006	Poughkeepsie	Dutchess	\$832,000	\$0	S
4/6/2006	Poughkeepsie	Dutchess	\$877,290	\$0	S
4/6/2006	Ticonderoga	Essex	\$2,141,800	\$0	S
4/13/2006	Salem	Washington	\$2,767,000	\$4,534	L
4/27/2006	Beekmantown	Clinton	\$778,236	\$0	L
4/27/2006	Liberty	Sullivan	\$2,850,000	\$2,000,000	L
5/4/2006	Alexandria	Jefferson	\$2,937,936	\$2,000,000	S
5/4/2006	Moreau	Saratoga	\$4,007,400	\$2,000,000	S
5/4/2006	Perrysburg	Cattaraugus	\$1,712,822	\$1,012,178	S
5/11/2006	Richmondville	Schoharie	\$1,304,400	\$0	L
5/11/2006	Shandaken	Ulster	\$1,499,434	\$198,564	L
5/18/2006	Hunter	Greene	\$2,730,515	\$0	L
5/18/2006	Wanakena Water Company	St. Lawrence	\$697,212	\$0	L
6/1/2006	Farnham	Erie	\$248,081	\$0	L
6/8/2006	Granby	Oswego	\$8,141,547	\$1,823,453	S
6/22/2006	NYCMWFA	New York	\$19,186,444	\$0	L
6/22/2006	NYCMWFA	New York	\$24,417,504	\$0	L
6/29/2006	Westerlo	Albany	\$849,596	\$0	L
7/6/2006	Bridgewater	Oneida	\$1,211,600	\$2,000,000	S
7/27/2006	Buffalo Municipal Water Finance	Erie	\$19,917,236	\$0	L
7/27/2006	Peekskill	Westchester	\$23,241,600	\$0	L
7/27/2006	Poughkeepsie	Dutchess	\$901,057	\$0	L
7/27/2006	Poughkeepsie	Dutchess	\$854,751	\$0	L
7/27/2006	Richmond	Ontario	\$1,733,318	\$0	L
7/27/2006	Springville	Erie	\$2,803,567	\$0	L
7/27/2006	Ticonderoga	Essex	\$2,141,800	\$0	L
7/27/2006	Andover	Allegany	\$1,751,010	\$0	L
9/7/2006	Portland	Chautauqua	\$0	\$514,400	L
9/28/2006	Athens	Greene	\$1,836,800	\$185,000	L

9/28/2006	La Fayette	Onondaga	\$480,585	\$0	S
9/28/2006	Middletown	Orange	\$7,333,260	\$0	S
9/28/2006	Newstead	Erie	\$513,000	\$0	S
9/28/2006	Schodack	Rensselaer	\$1,098,647	\$0	S
10/12/2006	Van Etten	Chemung	\$2,008,200	\$2,000,000	L
11/9/2006	Vienna	Oneida	\$3,032,242	\$1,243,914	L
11/9/2006	Sherburne	Chenango	\$1,483,000	\$0	S
12/21/2006	Hudson	Columbia	\$1,000,000	\$0	L
12/21/2006	Stockport	Columbia	\$5,255,000	\$0	L
1/4/2007	Hector	Schuyler	\$2,880,912	\$2,000,000	S
1/11/2007	Scio	Allegany	\$687,021	\$776,259	S
1/18/2007	Colden	Erie	\$3,176,452	\$0	L
3/15/2007	Cohocton	Steuben	\$2,555,000	\$0	L
3/15/2007	Glen Park	Jefferson	\$875,000	\$0	L
3/15/2007	Hornell	Steuben	\$2,463,432	\$0	L
3/15/2007	Keene	Essex	\$1,322,088	\$0	L
3/15/2007	Newfield	Tompkins	\$3,628,175	\$0	L
3/15/2007	Pulteney	Steuben	\$6,673,552	\$2,000,000	L
3/15/2007	Remsen	Oneida	\$1,729,753	\$182,246	L
3/15/2007	Willsboro	Essex	\$1,206,000	\$473,353	L
3/27/2007	NYCMWFA	New York	\$21,532,267	\$0	L
3/27/2007	NYCMWFA	New York	\$27,403,237	\$0	L
4/12/2007	Barton	Tioga	\$919,000	\$0	L
4/12/2007	Wawarsing	Ulster	\$7,108,000	\$0	L
4/26/2007	Hanover	Chautauqua	\$4,807,000	\$0	L
4/26/2007	Sheridan	Chautauqua	\$1,138,300	\$0	L
4/26/2007	Bangor	Franklin	\$715,472	\$1,939,490	S
4/26/2007	Cape Vincent	Jefferson	\$5,857,556	\$1,227,694	S
4/26/2007	Long Lake	Hamilton	\$105,582	\$538,163	S
4/26/2007	New Haven	Oswego	\$9,965,000	\$0	S
4/26/2007	Spafford	Onondaga	\$7,618,900	\$0	S
5/3/2007	Sackets Harbor	Jefferson	\$255,000	\$0	L
5/10/2007	Brasher	St. Lawrence	\$1,024,133	\$0	L
5/10/2007	Jay	Essex	\$1,746,562	\$0	L
5/17/2007	Theresa	Jefferson	\$3,574,000	\$2,000,000	S
5/31/2007	Ellisburg	Jefferson	\$3,570,000	\$0	S
6/7/2007	Middleburgh	Schoharie	\$1,372,082	\$6,538	L
6/21/2007	Springport	Cayuga	\$7,685,301	\$0	L
6/28/2007	Whitehall	Washington	\$7,462,287	\$2,000,000	S
7/5/2007	Perrysburg	Cattaraugus	\$88,009	\$693,615	L
7/26/2007	Groton	Tompkins	\$239,713	\$0	L
7/26/2007	La Fayette	Onondaga	\$814,913	\$0	L
7/26/2007	Monroe County Water Authority	Monroe	\$20,212,787	\$0	L
7/26/2007	Peekskill	Westchester	\$14,318,507	\$0	L
8/2/2007	Hastings	Oswego	\$9,268,900	\$0	L
8/2/2007	Sandy Creek	Oswego	\$2,683,200	\$2,000,000	L
8/23/2007	Kiryas Joel	Orange	\$2,778,653	\$0	L
8/23/2007	Mannsville	Jefferson	\$1,492,267	\$0	L
9/27/2007	Ellisburg	Jefferson	\$2,174,420	\$566,905	L
9/27/2007	Mechanicville	Saratoga	\$5,673,707	\$0	L

9/27/2007	Syracuse	Onondaga	\$4,172,811	\$0	S
10/4/2007	Hoosick Falls	Rensselaer	\$6,850,000	\$0	S
10/11/2007	Pulaski	Oswego	\$694,539	\$0	L
10/18/2007	Claverack	Columbia	\$2,659,616	\$0	L
11/8/2007	Malone	Franklin	\$4,135,543	\$0	L
12/6/2007	Antwerp	Jefferson	\$2,019,467	\$0	L
12/6/2007	Sherburne	Chenango	\$1,950,000	\$0	L
1/10/2008	Marcellus	Onondaga	\$6,287,000	\$0	S
1/17/2008	Indian Lake	Hamilton	\$675,000	\$2,000,000	S
2/7/2008	Lansing	Tompkins	\$832,910	\$0	S
2/7/2008	Livingston County Water and Sewer	Livingston	\$964,150	\$327,950	S
3/13/2008	New Hartford	Oneida	\$3,901,000	\$0	L
4/3/2008	Richland	Oswego	\$6,962,000	\$0	L
4/10/2008	Granby	Oswego	\$7,901,547	\$135,330	L
4/10/2008	Mexico	Oswego	\$6,648,920	\$1,806,080	S
4/24/2008	Newstead	Erie	\$4,423,743	\$0	L
5/8/2008	Beaver Dam Lake Water Corp.	Orange	\$1,842,900	\$0	S
5/22/2008	NYCMWFA	New York	\$139,431,530	\$0	L
5/22/2008	NYCMWFA	New York	\$56,365,111	\$0	L
6/5/2008	Belfast	Allegany	\$1,656,000	\$0	L
6/5/2008	Chesterfield	Essex	\$2,512,923	\$2,000,000	S
6/19/2008	Middletown	Orange	\$20,161,142	\$0	L
6/19/2008	Newstead	Erie	\$2,132,616	\$0	L
6/19/2008	Onondaga County Water Authority	Onondaga	\$14,226,510	\$0	L
6/19/2008	Westport	Essex	\$1,856,871	\$0	L
6/19/2008	Wilmington	Essex	\$6,039,682	\$1,863,023	L
6/19/2008	Le Ray	Jefferson	\$5,700,000	\$2,000,000	S
6/26/2008	Keene	Essex	\$4,061,370	\$0	L
6/26/2008	Minerva	Essex	\$1,315,000	\$0	L
6/26/2008	Schuylerville / Victory	Saratoga	\$2,570,876	\$436,391	L
6/26/2008	Vienna	Oneida	\$701,782	\$0	L
6/26/2008	Volney	Oswego	\$3,868,040	\$0	L
7/17/2008	Copenhagen	Lewis	\$1,063,000	\$2,000,000	S
7/24/2008	Fleischmanns	Delaware	\$1,719,265	\$1,280,735	S
7/24/2008	Richland	Oswego	\$8,078,516	\$1,481,484	S
7/31/2008	Huron	Wayne	\$881,500	\$0	L
7/31/2008	Mohawk	Herkimer	\$4,553,000	\$0	L
7/31/2008	Caneadea	Allegany	\$4,348,400	\$2,000,000	S
7/31/2008	The Chaffee Water Works Company	Erie	\$549,838	\$244,562	S
8/28/2008	Lowville	Lewis	\$1,183,598	\$0	L
9/25/2008	Evans	Erie	\$4,155,966	\$0	S
9/25/2008	Newburgh	Orange	\$6,550,000	\$0	S
9/25/2008	Schodack	Rensselaer	\$1,426,140	\$0	S
9/30/2008	Bath	Steuben	\$1,572,235	\$0	S
10/2/2008	Louisville	St. Lawrence	\$3,846,409	\$0	L
10/2/2008	Constantia	Oswego	\$11,960,500	\$2,000,000	S
12/11/2008	Erwin	Steuben	\$4,304,000	\$2,000,000	S
12/18/2008	Hounsfield	Jefferson	\$2,364,387	\$1,892,613	S
1/29/2009	Syracuse	Onondaga	\$32,117,056	\$0	S
2/12/2009	Andes	Delaware	\$233,800	\$0	L

2/12/2009	Savona	Steuben	\$2,975,000	\$749,035	L
2/12/2009	Sherburne	Chenango	\$1,796,000	\$0	L
2/26/2009	Coxsackie	Greene	\$1,566,935	\$0	S
4/2/2009	NYCMWFA	New York	\$70,579,030	\$0	L
4/2/2009	Davenport	Delaware	\$198,750	\$617,250	L
4/2/2009	Milo	Yates	\$518,584	\$1,570,753	L
4/2/2009	NYCMWFA	New York	\$10,365,000	\$0	L
4/9/2009	New Haven	Oswego	\$9,701,500	\$0	L
4/30/2009	Moreau	Saratoga	\$3,861,115	\$92,741	L
4/30/2009	Perrysburg	Cattaraugus	\$1,644,347	\$1,012,178	L
4/30/2009	Unadilla	Otsego	\$2,450,000	\$0	S
5/21/2009	Westmoreland	Oneida	\$1,394,140	\$0	S
6/18/2009	Alexandria	Jefferson	\$3,110,936	\$0	L
6/25/2009	Bridgewater	Oneida	\$1,527,359	\$2,000,000	L
6/25/2009	Amsterdam	Montgomery	\$8,000,000	\$0	S
7/2/2009	Campbell	Steuben	\$2,357,000	\$0	L
9/24/2009	Schodack	Rensselaer	\$4,558,803	\$0	S
9/30/2009	Pheasant Hill Water Corporation	Orange	\$690,000	\$2,000,000	S
Totals*			\$993,218,223	\$72,502,371	
* Includes \$252,648,799 in refinancing of prior DWSRF debt					

EXHIBIT 2

US EPA NIMS REPORT

**Drinking Water SRF Program Information
for the State of New York**

Federal and State Investment		<i>For Federal Fiscal Year Ending September 30 of:</i>								
<i>Data Entered by EPA</i>		1997	1998	1999	2000	2001	2002	2003	2004	2005
Federal Grants (Dollars)										
1	Date of Last Award in the Year	-	9/9/1998	6/28/1999	4/6/2000	6/21/2001	9/18/2002	9/30/2003	9/21/2004	9/20/2005
2	Total Annual Federal Grants	\$0	\$104,229,300	\$47,228,900	\$49,084,500	\$49,287,400	\$62,430,700	\$62,055,500	\$64,373,600	\$64,237,300
3	*Cumulative Federal Grants	\$0	\$104,229,300	\$151,458,200	\$200,542,700	\$249,830,100	\$312,260,800	\$374,316,300	\$438,689,900	\$502,927,200
Transfer of Federal Funds Between DWSRF and CWSRF										
4	Amount of Federal Funds Transferred into DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
5	Amount of Federal Funds Transferred out of DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6	*Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
7	*Cumulative Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Quarterly Outlays (Dollars)										
8	First Quarter Outlays	\$0	\$0	\$6,064,175	\$399,067	\$0	\$0	\$0	\$0	\$1,449,352
9	Second Quarter Outlays	\$0	\$0	\$7,251,533	\$1,785,504	\$7,465,699	\$41,609,053	\$49,012,513	\$57,779,196	\$43,956,554
10	Third Quarter Outlays	\$0	\$0	\$25,917,803	\$0	\$0	\$0	\$500,041	\$0	\$0
11	Fourth Quarter Outlays	\$0	\$23,453,998	\$7,875,734	\$37,046,959	\$65,087,253	\$18,537,202	\$14,629,240	\$2,537,371	\$18,728,510
12	*Total Annual Outlays	\$0	\$23,453,998	\$47,109,245	\$39,231,530	\$72,552,952	\$60,146,255	\$64,141,794	\$60,316,567	\$64,134,416
13	*Cumulative Outlays	\$0	\$23,453,998	\$70,563,243	\$109,794,773	\$182,347,725	\$242,493,980	\$306,635,774	\$366,952,341	\$431,086,757

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Federal and State Investment		<i>For Federal Fiscal Year Ending September 30 of:</i>								
<i>Data Entered by EPA</i>		2006	2007	2008	2009	2010	2011	2012	2013	2014
Federal Grants (Dollars)										
1	Date of Last Award in the Year	9/20/2006	9/26/2007	8/13/2008	9/30/2009	9/27/2010	9/27/2011	9/18/2012	9/25/2013	9/24/2014
2	Total Annual Federal Grants	\$36,636,100	\$36,638,000	\$36,265,000	\$123,076,000	\$89,427,000	\$62,055,000	\$59,138,000	\$55,872,000	\$56,572,914
3	*Cumulative Federal Grants	\$539,563,300	\$576,201,300	\$612,466,300	\$735,542,300	\$824,969,300	\$887,024,300	\$946,162,300	\$1,002,034,300	\$1,058,607,214
Transfer of Federal Funds Between DWSRF and CWSRF										
4	Amount of Federal Funds Transferred into DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
5	Amount of Federal Funds Transferred out of DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
6	*Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
7	*Cumulative Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Quarterly Outlays (Dollars)										
8	First Quarter Outlays	\$1,816,712	\$116,887	\$146,663	\$135,401	\$2,672,544	\$4,670,315	\$0	\$0	\$554,057
9	Second Quarter Outlays	\$58,904,173	\$34,579,508	\$34,357,332	\$35,249,534	\$64,188,804	\$27,523,118	\$43,817,342	\$43,817,342	\$28,353,335
10	Third Quarter Outlays	\$134,918	\$99,828	\$126,088	\$108,658	\$1,838,270	\$16,709,185	\$0	\$0	\$53,700
11	Fourth Quarter Outlays	\$133,795	\$3,087,802	\$3,306,475	\$79,579	\$22,404,982	\$5,918,570	\$44,138,252	\$1,394,821	\$0
12	*Total Annual Outlays	\$60,989,598	\$37,884,025	\$37,936,558	\$35,573,172	\$91,104,600	\$54,821,188	\$87,955,595	\$45,212,163	\$28,961,092
13	*Cumulative Outlays	\$492,076,355	\$529,960,380	\$567,896,938	\$603,470,110	\$694,574,710	\$749,395,898	\$837,351,492	\$882,563,655	\$911,524,747

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Federal and State Investment		<i>For the Reporting Year Ending June 30 of:</i>								
		1997	1998	1999	2000	2001	2002	2003	2004	2005
DWSRF Fund Investment Summary (Calculated Values)										
14	*Annual Capitalization Grants	\$0	\$59,167,700	\$92,290,500	\$49,084,500	\$49,287,400	\$0	\$62,430,700	\$62,055,500	\$64,373,600
15	*Cumulative Capitalization Grants	\$0	\$59,167,700	\$151,458,200	\$200,542,700	\$249,830,100	\$249,830,100	\$312,260,800	\$374,316,300	\$438,689,900
Adjustments to the DWSRF Fund										
16	*Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$49,981,206	\$16,197,885	\$16,264,842	\$0	\$0	\$0
17	*Annual Amount (Awarded) for Set-Asides (from line 111)	\$0	(\$10,058,509)	(\$8,306,245)	(\$4,417,605)	(\$4,435,866)	\$0	(\$6,243,069)	(\$6,826,105)	(\$7,066,103)
18	*Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
19	*Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$49,109,191	\$83,984,255	\$94,648,101	\$61,049,419	\$16,264,842	\$56,187,631	\$55,229,395	\$57,307,497
20	*Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$49,109,191	\$133,093,446	\$227,741,547	\$288,790,966	\$305,055,808	\$361,243,439	\$416,472,834	\$473,780,331
21	*Annual State Contributions	\$0	\$35,000,000	\$25,000,000	\$25,000,000	\$35,000,000	\$65,000,000	\$50,000,000	\$30,000,000	\$0
22	*Cumulative State Contributions	\$0	\$35,000,000	\$60,000,000	\$85,000,000	\$120,000,000	\$185,000,000	\$235,000,000	\$265,000,000	\$265,000,000
23	*Annual Net Investments for the DWSRF Fund	\$0	\$84,109,191	\$108,984,255	\$119,648,101	\$96,049,419	\$81,264,842	\$106,187,631	\$85,229,395	\$57,307,497
24	*Cumulative Net Investments for the DWSRF Fund	\$0	\$84,109,191	\$193,093,446	\$312,741,547	\$408,790,966	\$490,055,808	\$596,243,439	\$681,472,834	\$738,780,331
25	*State Contributions as a % of Grants - Annual	-	59%	27%	51%	71%	-	80%	48%	0%
26	*State Contributions as a % of Grants - Cumulative	-	59%	40%	42%	48%	74%	75%	71%	60%
Outlays (Dollars)										
27	*Annual	\$0	\$0	\$62,687,509	\$10,060,305	\$44,512,658	\$106,696,306	\$68,049,756	\$72,408,436	\$47,943,277
28	*Cumulative	\$0	\$0	\$62,687,509	\$72,747,814	\$117,260,472	\$223,956,778	\$292,006,534	\$364,414,970	\$412,358,247
28a	*State Contributions as a % of Outlays - Annual	-	-	40%	249%	79%	61%	73%	41%	0%
28b	*State Contributions as a % of Outlays - Cumulative	-	-	96%	117%	102%	83%	80%	73%	64%
State Match Contributions Deposited (Dollars)										
29	Cash or Appropriations	\$0	\$35,000,000	\$25,000,000	\$25,000,000	\$35,000,000	\$65,000,000	\$50,000,000	\$30,000,000	\$0
30	Bonds Retired Outside the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
31	Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
32	Pre-existing Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
33	Other Sources	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34	*Total Annual Match Contributions	\$0	\$35,000,000	\$25,000,000	\$25,000,000	\$35,000,000	\$65,000,000	\$50,000,000	\$30,000,000	\$0
35	*Cumulative Match Contributions	\$0	\$35,000,000	\$60,000,000	\$85,000,000	\$120,000,000	\$185,000,000	\$235,000,000	\$265,000,000	\$265,000,000
Additional Match for State Program Management Activities (Dollars)										
36	Credit for 1993 Funding Used	\$0	\$295,839	\$1,384,408	\$736,268	\$739,311	\$0	\$1,248,614	\$1,551,387	\$0
37	Cash Contributions Deposited	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
38	Contributions as In-Kind Services	\$0	\$295,839	\$1,384,408	\$736,268	\$739,311	\$0	\$1,248,614	\$1,551,387	\$3,211,865
39	*Total Annual Additional Contribution	\$0	\$591,678	\$2,768,816	\$1,472,536	\$1,478,622	\$0	\$2,497,228	\$3,102,774	\$3,211,865
40	*Cumulative Additional Contribution	\$0	\$591,678	\$3,360,494	\$4,833,030	\$6,311,652	\$6,311,652	\$8,808,880	\$11,911,654	\$15,123,519
Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund										
41	*Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
42	Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$49,981,206	\$16,197,885	\$16,264,842	\$0	\$0	\$0
43	*Total Annual Net Amount Transferred	\$0	\$0	\$0	\$49,981,206	\$16,197,885	\$16,264,842	\$0	\$0	\$0
44	*Cumulative Total Net Amount Transferred	\$0	\$0	\$0	\$49,981,206	\$66,179,091	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Federal and State Investment		<i>For the Reporting Year Ending June 30 of:</i>								
		2006	2007	2008	2009	2010	2011	2012	2013	2014
DWSRF Fund Investment Summary (Calculated Values)										
14	*Annual Capitalization Grants	\$64,237,300	\$36,636,100	\$36,638,000	\$36,265,000	\$123,076,000	\$89,427,000	\$62,055,000	\$59,138,000	\$55,872,000
15	*Cumulative Capitalization Grants	\$502,927,200	\$539,563,300	\$576,201,300	\$612,466,300	\$735,542,300	\$824,969,300	\$887,024,300	\$946,162,300	\$1,002,034,300
Adjustments to the DWSRF Fund										
16	*Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
17	*Annual Amount (Awarded) for Set-Asides (from line 111)	(\$5,861,776)	(\$5,862,080)	(\$5,862,080)	\$0	(\$10,651,060)	(\$9,037,768)	(\$9,747,680)	(\$8,877,600)	(\$6,792,700)
18	*Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 113)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
19	*Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$58,375,524	\$30,774,020	\$30,775,920	\$36,265,000	\$112,424,940	\$80,389,232	\$52,307,320	\$50,260,400	\$49,079,300
20	*Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$532,155,855	\$562,929,875	\$593,705,795	\$629,970,795	\$742,395,735	\$822,784,967	\$875,092,287	\$925,352,687	\$974,431,987
21	*Annual State Contributions	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
22	*Cumulative State Contributions	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000
23	*Annual Net Investments for the DWSRF Fund	\$58,375,524	\$30,774,020	\$30,775,920	\$36,265,000	\$112,424,940	\$80,389,232	\$52,307,320	\$50,260,400	\$49,079,300
24	*Cumulative Net Investments for the DWSRF Fund	\$797,155,855	\$827,929,875	\$858,705,795	\$894,970,795	\$1,007,395,735	\$1,087,784,967	\$1,140,092,287	\$1,190,352,687	\$1,239,431,987
25	*State Contributions as a % of Grants - Annual	0%	0%	0%	0%	0%	0%	0%	0%	0%
26	*State Contributions as a % of Grants - Cumulative	53%	49%	46%	43%	36%	32%	30%	28%	26%
Outlays (Dollars)										
27	*Annual	\$79,584,313	\$34,930,018	\$37,717,885	\$38,800,068	\$68,779,197	\$71,307,600	\$49,735,912	\$87,955,595	\$30,355,913
28	*Cumulative	\$491,942,560	\$526,872,578	\$564,590,463	\$603,390,531	\$672,169,728	\$743,477,327	\$793,213,240	\$881,168,834	\$911,524,747
28a	*State Contributions as a % of Outlays - Annual	0%	0%	0%	0%	0%	0%	0%	0%	0%
28b	*State Contributions as a % of Outlays - Cumulative	54%	50%	47%	44%	39%	36%	33%	30%	29%
State Match Contributions Deposited (Dollars)										
29	Cash or Appropriations	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
30	Bonds Retired Outside the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
31	Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
32	Pre-existing Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
33	Other Sources	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34	*Total Annual Match Contributions	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
35	*Cumulative Match Contributions	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000	\$265,000,000
Additional Match for State Program Management Activities (Dollars)										
36	Credit for 1993 Funding Used	\$1,831,805	\$1,831,900	\$1,831,900	\$0	\$1,813,250	\$2,686,904	\$3,046,150	\$2,774,250	\$2,122,750
37	Cash Contributions Deposited	\$0	\$0	\$0	\$0	\$1,813,250	\$0	\$0	\$0	\$0
38	Contributions as In-Kind Services	\$1,831,805	\$1,831,900	\$1,831,900	\$0	\$0	\$2,686,904	\$3,046,150	\$2,774,250	\$2,122,750
39	*Total Annual Additional Contribution	\$3,663,610	\$3,663,800	\$3,663,800	\$0	\$3,626,500	\$5,373,808	\$6,092,300	\$5,548,500	\$4,245,500
40	*Cumulative Additional Contribution	\$18,787,129	\$22,450,929	\$26,114,729	\$26,114,729	\$29,741,229	\$35,115,037	\$41,207,337	\$46,755,837	\$51,001,337
Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund										
41	*Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
42	Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
43	*Total Annual Net Amount Transferred	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
44	*Cumulative Total Net Amount Transferred	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933	\$82,443,933

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Set-Asides	For the Reporting Year Ending June 30 of:								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
Administrative Expenses (Dollars)									
45 Amount Awarded for Inclusion in Workplans	\$0	\$2,366,708	\$3,691,620	\$1,963,380	\$1,971,496	\$0	\$2,497,228	\$2,482,220	\$2,569,492
46 *Annual Amount Awarded as a % of Grants Awarded	-	4.0%	4.0%	4.0%	4.0%	-	4.0%	4.0%	4.0%
47 Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
48 *Annual Amount Awarded and Transferred	\$0	\$2,366,708	\$3,691,620	\$1,963,380	\$1,971,496	\$0	\$2,497,228	\$2,482,220	\$2,569,492
49 *Cumulative Amount Awarded, Including Transfers	\$0	\$2,366,708	\$6,058,328	\$8,021,708	\$9,993,204	\$9,993,204	\$12,490,432	\$14,972,652	\$17,542,144
50 Annual Expenses - DWSRF Administration	\$0	\$1,333,889	\$2,100,292	\$2,240,773	\$2,100,700	\$2,046,728	\$1,613,914	\$1,913,742	\$2,270,059
51 Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
52 *Total Annual Administrative Expenses	\$0	\$1,333,889	\$2,100,292	\$2,240,773	\$2,100,700	\$2,046,728	\$1,613,914	\$1,913,742	\$2,270,059
53 *Cumulative Administrative Expenses	\$0	\$1,333,889	\$3,434,181	\$5,674,954	\$7,775,654	\$9,822,382	\$11,436,296	\$13,350,038	\$15,620,097
54 *Remaining Awarded Amount	\$0	\$1,032,819	\$2,624,147	\$2,346,754	\$2,217,550	\$170,822	\$1,054,136	\$1,622,614	\$1,922,047
Small Systems Technical Assistance									
55 Amount Awarded for Inclusion in Workplans	\$0	\$1,183,354	\$1,845,810	\$981,690	\$985,748	\$0	\$1,248,614	\$1,241,110	\$1,284,746
56 *Annual Amount Awarded as a % of Grants Awarded	-	2.0%	2.0%	2.0%	2.0%	-	2.0%	2.0%	2.0%
57 Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
58 *Annual Amount Awarded and Transferred	\$0	\$1,183,354	\$1,845,810	\$981,690	\$985,748	\$0	\$1,248,614	\$1,241,110	\$1,284,746
59 *Cumulative Amount Awarded, Including Transfers	\$0	\$1,183,354	\$3,029,164	\$4,010,854	\$4,996,602	\$4,996,602	\$6,245,216	\$7,486,326	\$8,771,072
60 Annual Expenses - Small System Technical Assistance	\$0	\$48,162	\$461,287	\$631,825	\$773,626	\$641,433	\$1,260,362	\$1,335,707	\$1,866,269
61 *Cumulative Small Systems Technical Assistance	\$0	\$48,162	\$509,449	\$1,141,274	\$1,914,900	\$2,556,333	\$3,816,695	\$5,152,402	\$7,018,671
62 *Remaining Awarded Amount	\$0	\$1,135,192	\$2,519,715	\$2,869,580	\$3,081,702	\$2,440,269	\$2,428,521	\$2,333,924	\$1,752,401
63 Annual Number of Systems Receiving Assistance	0	52	140	201	172	215	196	163	139
64 *Cumulative Number of Small Systems Receiving	0	52	192	393	565	780	976	1,139	1,278
State Program Management (Dollars)									
65 Amount Awarded for Inclusion in Workplans	\$0	\$591,677	\$2,768,815	\$1,472,535	\$1,478,622	\$0	\$2,497,227	\$3,102,775	\$3,211,865
66 *Annual Amount Awarded as a % of Grants Awarded	-	1.0%	3.0%	3.0%	3.0%	-	4.0%	5.0%	5.0%
67 Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
68 *Annual Amount Awarded and Transferred	\$0	\$591,677	\$2,768,815	\$1,472,535	\$1,478,622	\$0	\$2,497,227	\$3,102,775	\$3,211,865
69 *Cumulative Amount Awarded, Including Transfers	\$0	\$591,677	\$3,360,492	\$4,833,027	\$6,311,649	\$6,311,649	\$8,808,876	\$11,911,651	\$15,123,516
70 Annual Expenses - PWSS Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
71 *Cumulative Expenses - PWSS Administration	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
72 Annual Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
73 *Cumulative Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
74 Annual Expenses - Capacity Development	\$0	\$3,920	\$105,057	\$773,345	\$894,869	\$246,768	\$1,182,367	\$1,220,993	\$1,423,766
75 *Cumulative Expenses - Capacity Development	\$0	\$3,920	\$108,977	\$882,322	\$1,777,191	\$2,023,959	\$3,206,326	\$4,427,319	\$5,851,085
76 Annual Expenses - Operator Certification Programs	\$0	\$5,880	\$79,008	\$162,518	\$200,582	\$133,982	\$349,314	\$365,088	\$561,898
77 *Cumulative Expenses - Operator Certification Programs	\$0	\$5,880	\$84,888	\$247,406	\$447,988	\$581,970	\$931,284	\$1,296,372	\$1,858,270
78 *Total Annual State Program Management Expenses	\$0	\$9,800	\$184,065	\$935,863	\$1,095,451	\$380,750	\$1,531,681	\$1,586,081	\$1,985,664
79 *Cumulative State Program Management Expenses	\$0	\$9,800	\$193,865	\$1,129,728	\$2,225,179	\$2,605,929	\$4,137,610	\$5,723,691	\$7,709,355
80 *Remaining Awarded Amount	\$0	\$581,877	\$3,166,627	\$3,703,299	\$4,086,470	\$3,705,720	\$4,671,266	\$6,187,960	\$7,414,161

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Set-Asides	For the Reporting Year Ending June 30 of:								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
Administrative Expenses (Dollars)									
45 Amount Awarded for Inclusion in Workplans	\$1,465,444	\$1,465,520	\$1,465,520	\$0	\$4,923,040	\$2,442,640	\$2,436,920	\$2,219,400	\$1,698,200
46 *Annual Amount Awarded as a % of Grants Awarded	2.3%	4.0%	4.0%	0.0%	4.0%	2.7%	3.9%	3.8%	3.0%
47 Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
48 *Annual Amount Awarded and Transferred	\$1,465,444	\$1,465,520	\$1,465,520	\$0	\$4,923,040	\$2,442,640	\$2,436,920	\$2,219,400	\$1,698,200
49 *Cumulative Amount Awarded, Including Transfers	\$19,007,588	\$20,473,108	\$21,938,628	\$21,938,628	\$26,861,668	\$29,304,308	\$31,741,228	\$33,960,628	\$35,658,828
50 Annual Expenses - DWSRF Administration	\$2,585,965	\$2,312,109	\$2,109,407	\$1,871,074	\$1,435,652	\$1,803,488	\$2,146,712	\$2,159,588	\$2,425,608
51 Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
52 *Total Annual Administrative Expenses	\$2,585,965	\$2,312,109	\$2,109,407	\$1,871,074	\$1,435,652	\$1,803,488	\$2,146,712	\$2,159,588	\$2,425,608
53 *Cumulative Administrative Expenses	\$18,206,062	\$20,518,171	\$22,627,578	\$24,498,652	\$25,934,304	\$27,737,792	\$29,884,504	\$32,044,092	\$34,469,700
54 *Remaining Awarded Amount	\$801,526	(\$45,063)	(\$688,950)	(\$2,560,024)	\$927,364	\$1,566,516	\$1,856,724	\$1,916,536	\$1,189,128
Small Systems Technical Assistance									
55 Amount Awarded for Inclusion in Workplans	\$732,722	\$732,760	\$732,760	\$0	\$2,461,520	\$1,221,320	\$1,218,460	\$1,109,700	\$849,000
56 *Annual Amount Awarded as a % of Grants Awarded	1.1%	2.0%	2.0%	0.0%	2.0%	1.4%	2.0%	1.9%	1.5%
57 Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
58 *Annual Amount Awarded and Transferred	\$732,722	\$732,760	\$732,760	\$0	\$2,461,520	\$1,221,320	\$1,218,460	\$1,109,700	\$849,000
59 *Cumulative Amount Awarded, Including Transfers	\$9,503,794	\$10,236,554	\$10,969,314	\$10,969,314	\$13,430,834	\$14,652,154	\$15,870,614	\$16,980,314	\$17,829,314
60 Annual Expenses - Small System Technical Assistance	\$1,343,896	\$1,479,592	\$1,295,435	\$1,111,731	\$725,300	\$1,288,691	\$1,221,320	\$1,218,460	\$1,109,700
61 *Cumulative Small Systems Technical Assistance	\$8,362,567	\$9,842,159	\$11,137,594	\$12,249,325	\$12,974,625	\$14,263,316	\$15,484,636	\$16,703,096	\$17,812,796
62 *Remaining Awarded Amount	\$1,141,227	\$394,395	(\$168,280)	(\$1,280,011)	\$456,209	\$388,838	\$385,978	\$277,218	\$16,518
63 Annual Number of Systems Receiving Assistance	144	116	79	55	61	56	59	47	50
64 *Cumulative Number of Small Systems Receiving	1,422	1,538	1,617	1,672	1,733	1,789	1,848	1,895	1,945
State Program Management (Dollars)									
65 Amount Awarded for Inclusion in Workplans	\$3,663,610	\$3,663,800	\$3,663,800	\$0	\$3,266,500	\$5,373,808	\$6,092,300	\$5,548,500	\$4,245,500
66 *Annual Amount Awarded as a % of Grants Awarded	5.7%	10.0%	10.0%	0.0%	2.7%	6.0%	9.8%	9.4%	7.6%
67 Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
68 *Annual Amount Awarded and Transferred	\$3,663,610	\$3,663,800	\$3,663,800	\$0	\$3,266,500	\$5,373,808	\$6,092,300	\$5,548,500	\$4,245,500
69 *Cumulative Amount Awarded, Including Transfers	\$18,787,126	\$22,450,926	\$26,114,726	\$26,114,726	\$29,381,226	\$34,755,034	\$40,847,334	\$46,395,834	\$50,641,334
70 Annual Expenses - PWSS Administration	\$0	\$0	\$0	\$4,915,062	\$4,614,396	\$5,342,402	\$6,437,749	\$572,433	\$1,448,092
71 *Cumulative Expenses - PWSS Administration	\$0	\$0	\$0	\$4,915,062	\$9,529,458	\$14,871,860	\$21,309,609	\$21,882,042	\$23,330,134
72 Annual Expenses - Source Water Protection Technical Assistance	\$112,294	\$1,094,812	\$1,237,817	\$0	\$0	\$0	\$0	\$0	\$0
73 *Cumulative Expenses - Source Water Protection Technical Assistance	\$112,294	\$1,207,106	\$2,444,923	\$2,444,923	\$2,444,923	\$2,444,923	\$2,444,923	\$2,444,923	\$2,444,923
74 Annual Expenses - Capacity Development	\$1,827,888	\$2,544,204	\$1,731,931	\$0	\$0	\$0	\$0	\$0	\$0
75 *Cumulative Expenses - Capacity Development	\$7,678,973	\$10,223,177	\$11,955,108	\$11,955,108	\$11,955,108	\$11,955,108	\$11,955,108	\$11,955,108	\$11,955,108
76 Annual Expenses - Operator Certification Programs	\$561,646	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
77 *Cumulative Expenses - Operator Certification Programs	\$2,419,916	\$2,419,916	\$2,419,916	\$2,419,916	\$2,419,916	\$2,419,916	\$2,419,916	\$2,419,916	\$2,419,916
78 *Total Annual State Program Management Expenses	\$2,501,828	\$3,639,016	\$2,969,748	\$4,915,062	\$4,614,396	\$5,342,402	\$6,437,749	\$572,433	\$1,448,092
79 *Cumulative State Program Management Expenses	\$10,211,183	\$13,850,199	\$16,819,947	\$21,735,009	\$26,349,405	\$31,691,807	\$38,129,556	\$38,701,989	\$40,150,081
80 *Remaining Awarded Amount	\$8,575,943	\$8,600,727	\$9,294,779	\$4,379,717	\$3,031,821	\$3,063,227	\$2,717,778	\$7,693,845	\$10,491,253

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Set-Asides	For the Reporting Year Ending June 30 of:								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
Local Assistance and Other State Programs (1452(k) Activities)									
81 Amount Awarded for Inclusion in Workplans	\$0	\$5,916,770	\$0	\$0	\$0	\$0	\$0	\$0	\$0
82 *Annual Amount Awarded as a % of Grants Awarded	-	10.0%	0.0%	0.0%	0.0%	-	0.0%	0.0%	0.0%
83 Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
84 *Annual Amount Awarded and Transferred	\$0	\$5,916,770	\$0	\$0	\$0	\$0	\$0	\$0	\$0
85 *Cumulative Amount Awarded, Including Transfers	\$0	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770
Loans for Source Water Protection Land Acquisition/Conservation Easements									
86 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
87 *Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
88 Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
89 *Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
90 Annual Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0	0	0
91 *Cumulative Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0	0	0
Loans for Incentive-Based Source Water Protection Measures									
92 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
93 *Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94 Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
95 *Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
Source Water Protection Area Delineation/Assessment									
96 Annual Expenses - SWP Area Delineation/Assessment	\$0	\$37,911	\$386,698	\$1,006,156	\$420,840	\$1,467,404	\$2,597,761	\$0	\$0
97 *Cumulative Expenses - SWP Area Delineation/Assessment	\$0	\$37,911	\$424,609	\$1,430,765	\$1,851,605	\$3,319,009	\$5,916,770	\$5,916,770	\$5,916,770
Wellhead Protection Programs									
98 Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99 Annual Dollar Amount of Wellhead Protection Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
100 Annual Number of Wellhead Protection Loans	0	0	0	0	0	0	0	0	0
101 *Cumulative Expenses/Loans - Wellhead Protection Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Technical or Financial Assistance to PWSs for Capacity Development									
102 Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
103 Annual Dollar Amount of Loans under the Capacity Development Strategy	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
104 Annual Number of Loans under the Capacity Development Strategy	0	0	0	0	0	0	0	0	0
105 *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
106 Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
107 *Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
108 *Total Annual 1452(k) Activity Dollars	\$0	\$37,911	\$386,698	\$1,006,156	\$420,840	\$1,467,404	\$2,597,761	\$0	\$0
109 *Cumulative 1452(k) Activity Dollars	\$0	\$37,911	\$424,609	\$1,430,765	\$1,851,605	\$3,319,009	\$5,916,770	\$5,916,770	\$5,916,770
110 *Remaining Awarded Amount	\$0	\$5,878,859	\$5,492,161	\$4,486,005	\$4,065,165	\$2,597,761	\$0	\$0	\$0
Set-Aside Summary									
111 *Annual Total Awarded Amount for Set-Asides	\$0	\$10,058,509	\$8,306,245	\$4,417,605	\$4,435,866	\$0	\$6,243,069	\$6,826,105	\$7,066,103
112 *Cumulative Total Awarded Amount for Set-Asides	\$0	\$10,058,509	\$18,364,754	\$22,782,359	\$27,218,225	\$27,218,225	\$33,461,294	\$40,287,399	\$47,353,502
113 *Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
114 *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
115 *Annual Net Total Amount Awarded for Set-Asides	\$0	\$10,058,509	\$8,306,245	\$4,417,605	\$4,435,866	\$0	\$6,243,069	\$6,826,105	\$7,066,103
116 *Cumulative Net Total Amount Awarded for Set-Asides	\$0	\$10,058,509	\$18,364,754	\$22,782,359	\$27,218,225	\$27,218,225	\$33,461,294	\$40,287,399	\$47,353,502
117 *Cumulative Net Total Amount Awarded as a % of Grants	-	17.0%	12.1%	11.4%	10.9%	10.9%	10.7%	10.8%	10.8%
118 *Total Annual Set-Aside Activity Dollars Expended/Committed	\$0	\$1,429,762	\$3,132,342	\$4,814,617	\$4,390,617	\$4,536,315	\$7,003,718	\$4,835,530	\$6,121,992
119 *Cumulative Set-Aside Activity Dollars Expended/Committed	\$0	\$1,429,762	\$4,562,104	\$9,376,721	\$13,767,338	\$18,303,653	\$25,307,371	\$30,142,901	\$36,264,893

**Drinking Water SRF Program Information
for the State of New York**

Set-Asides	For the Reporting Year Ending June 30 of:								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
Local Assistance and Other State Programs (1452(k) Activities)									
81 Amount Awarded for Inclusion in Workplans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
82 *Annual Amount Awarded as a % of Grants Awarded	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
83 Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
84 *Annual Amount Awarded and Transferred	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
85 *Cumulative Amount Awarded, Including Transfers	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770
Loans for Source Water Protection Land Acquisition/Conservation Easements									
86 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
87 *Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
88 Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
89 *Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
90 Annual Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0	0	0
91 *Cumulative Number of Acres of Land Acquired for SWP	0	0	0	0	0	0	0	0	0
Loans for Incentive-Based Source Water Protection Measures									
92 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
93 *Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
94 Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
95 *Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
Source Water Protection Area Delineation/Assessment									
96 Annual Expenses - SWP Area Delineation/Assessment	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
97 *Cumulative Expenses - SWP Area Delineation/Assessment	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770
Wellhead Protection Programs									
98 Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
99 Annual Dollar Amount of Wellhead Protection Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
100 Annual Number of Wellhead Protection Loans	0	0	0	0	0	0	0	0	0
101 *Cumulative Expenses/Loans - Wellhead Protection Programs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Technical or Financial Assistance to PWSs for Capacity Development									
102 Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
103 Annual Dollar Amount of Loans under the Capacity Development Strategy	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
104 Annual Number of Loans under the Capacity Development Strategy	0	0	0	0	0	0	0	0	0
105 *Cumulative Expenses/Loans - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
106 Annual Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
107 *Cumulative Number of Systems Receiving Assistance	0	0	0	0	0	0	0	0	0
108 *Total Annual 1452(k) Activity Dollars	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
109 *Cumulative 1452(k) Activity Dollars	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770	\$5,916,770
110 *Remaining Awarded Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Set-Aside Summary									
111 *Annual Total Awarded Amount for Set-Asides	\$5,861,776	\$5,862,080	\$5,862,080	\$0	\$10,651,060	\$9,037,768	\$9,747,680	\$8,877,600	\$6,792,700
112 *Cumulative Total Awarded Amount for Set-Asides	\$53,215,278	\$59,077,358	\$64,939,438	\$64,939,438	\$75,590,498	\$84,628,266	\$94,375,946	\$103,253,546	\$110,046,246
113 *Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
114 *Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
115 *Annual Net Total Amount Awarded for Set-Asides	\$5,861,776	\$5,862,080	\$5,862,080	\$0	\$10,651,060	\$9,037,768	\$9,747,680	\$8,877,600	\$6,792,700
116 *Cumulative Net Total Amount Awarded for Set-Asides	\$53,215,278	\$59,077,358	\$64,939,438	\$64,939,438	\$75,590,498	\$84,628,266	\$94,375,946	\$103,253,546	\$110,046,246
117 *Cumulative Net Total Amount Awarded as a % of Grants	10.6%	10.9%	11.3%	10.6%	10.3%	10.3%	10.6%	10.9%	11.0%
118 *Total Annual Set-Aside Activity Dollars Expended/Committed	\$6,431,689	\$7,430,717	\$6,374,590	\$7,897,867	\$6,775,348	\$8,434,581	\$9,805,781	\$3,950,481	\$4,983,400
119 *Cumulative Set-Aside Activity Dollars Expended/Committed	\$42,696,582	\$50,127,299	\$56,501,889	\$64,399,756	\$71,175,104	\$79,609,685	\$89,415,466	\$93,365,947	\$98,349,347

120	*Cumulative Remaining Awarded Amount for Set-Asides	\$0	\$8,628,747	\$13,802,650	\$13,405,638	\$13,450,887	\$8,914,572	\$8,153,923	\$10,144,498	\$11,088,609
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* Calculated values.

120	*Cumulative Remaining Awarded Amount for Set-Asides	\$10,518,696	\$8,950,059	\$8,437,549	\$539,682	\$4,415,394	\$5,018,581	\$4,960,480	\$9,887,599	\$11,696,899
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* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance	<i>For the Reporting Year Ending June 30 of:</i>								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
Binding Commitments									
121 Annual Dollar Amount	\$0	\$135,604,761	\$258,107,754	\$134,308,009	\$194,265,953	\$194,850,623	\$142,014,275	\$223,604,533	\$175,670,236
122 *Cumulative Dollar Amount	\$0	\$135,604,761	\$393,712,515	\$528,020,524	\$722,286,477	\$917,137,100	\$1,059,151,375	\$1,282,755,908	\$1,458,426,144
123 Annual Number of Binding Commitments	0	52	41	52	62	97	52	75	53
124 *Cumulative Number of Binding Commitments	0	52	93	145	207	304	356	431	484
Type of DWSRF Assistance Provided (Dollars)									
125 Executed Loan Commitments	\$0	\$61,930,566	\$70,381,452	\$131,097,975	\$122,660,566	\$116,719,959	\$105,867,591	\$151,717,013	\$87,159,710
126 Refinance Short-term Debt	\$0	\$0	\$0	\$0	\$0	\$6,564,277	\$2,657,187	\$25,079,466	\$15,791,668
127 Refinance Long-term Debt	\$0	\$73,674,195	\$187,726,302	\$3,210,034	\$71,605,387	\$71,566,387	\$33,489,497	\$46,808,054	\$72,718,858
128 Guarantee or Purchase Insurance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
129 *Total Annual Assistance	\$0	\$135,604,761	\$258,107,754	\$134,308,009	\$194,265,953	\$194,850,623	\$142,014,275	\$223,604,533	\$175,670,236
130 *Cumulative Assistance	\$0	\$135,604,761	\$393,712,515	\$528,020,524	\$722,286,477	\$917,137,100	\$1,059,151,375	\$1,282,755,908	\$1,458,426,144
Type of DWSRF Assistance Provided (Number of Assistance Agreements)									
131 Executed Loan Commitments	0	18	30	48	51	87	25	46	15
132 Refinance Short-term Debt	0	0	0	0	0	2	8	19	10
133 Refinance Long-term Debt	0	34	11	4	11	8	19	10	28
134 Guarantee or Purchase Insurance	0	0	0	0	0	0	0	0	0
135 *Total Annual Number of Agreements	0	52	41	52	62	97	52	75	53
136 *Cumulative Number of Agreements	0	52	93	145	207	304	356	431	484
Assistance by Project Population Size (Dollars)									
137 Less than 501	\$0	\$12,541,745	\$4,992,563	\$2,198,809	\$12,467,889	\$48,734,109	\$12,492,922	\$25,854,103	\$33,861,597
138 501 to 3,300	\$0	\$47,261,882	\$31,688,872	\$35,704,628	\$30,365,192	\$27,851,721	\$35,295,528	\$48,020,565	\$47,177,839
139 3,301 to 10,000	\$0	\$34,684,979	\$24,649,281	\$17,516,205	\$58,484,845	\$22,015,217	\$15,067,964	\$14,441,149	\$14,901,323
140 10,001 to 100,000	\$0	\$23,271,673	\$21,407,277	\$51,879,029	\$66,232,021	\$18,775,110	\$16,288,459	\$80,496,841	\$37,309,363
141 100,001 and Above	\$0	\$17,844,482	\$175,369,761	\$27,009,338	\$26,716,006	\$77,474,466	\$62,869,392	\$54,791,875	\$42,420,114
142 *Total Annual Assistance	\$0	\$135,604,761	\$258,107,754	\$134,308,009	\$194,265,953	\$194,850,623	\$142,014,265	\$223,604,533	\$175,670,236
143 *Cumulative Assistance	\$0	\$135,604,761	\$393,712,515	\$528,020,524	\$722,286,477	\$917,137,100	\$1,059,151,365	\$1,282,755,898	\$1,458,426,134
Assistance by Population Size (Number of Assistance Agreements)									
144 Less than 501	0	15	7	5	20	44	13	20	17
145 501 to 3,300	0	19	19	23	21	21	14	25	22
146 3,301 to 10,000	0	9	8	8	12	9	7	9	5
147 10,001 to 100,000	0	7	5	14	8	14	6	17	6
148 100,001 and Above	0	2	2	2	1	9	12	4	3
149 *Total Annual Number of Agreements	0	52	41	52	62	97	52	75	53
150 *Cumulative Number of Agreements	0	52	93	145	207	304	356	431	484

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance		<i>For the Reporting Year Ending June 30 of:</i>								
		2006	2007	2008	2009	2010	2011	2012	2013	2014
Binding Commitments										
121 Annual Dollar Amount		\$182,096,057	\$219,653,737	\$385,265,675	\$210,652,276	\$354,532,380	\$299,536,575	\$75,634,648	\$260,679,985	\$71,243,549
122 *Cumulative Dollar Amount		\$1,640,522,201	\$1,860,175,938	\$2,245,441,613	\$2,456,093,889	\$2,810,626,269	\$3,110,162,844	\$3,185,797,492	\$3,446,477,477	\$3,517,721,026
123 Annual Number of Binding Commitments		43	50	43	32	59	16	18	11	20
124 *Cumulative Number of Binding Commitments		527	577	620	652	711	727	745	756	776
Type of DWSRF Assistance Provided (Dollars)										
125 Executed Loan Commitments		\$75,681,619	\$104,483,498	\$231,382,561	\$99,709,896	\$267,512,086	\$107,561,864	\$75,634,648	\$260,679,985	\$71,243,549
126 Refinance Short-term Debt		\$7,800,455	\$66,234,735	\$36,486,614	\$29,942,380	\$82,974,932	\$190,474,711	\$0	\$0	\$0
127 Refinance Long-term Debt		\$98,613,983	\$48,935,504	\$117,396,500	\$81,000,000	\$4,045,362	\$1,500,000	\$0	\$0	\$0
128 Guarantee or Purchase Insurance		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
129 *Total Annual Assistance		\$182,096,057	\$219,653,737	\$385,265,675	\$210,652,276	\$354,532,380	\$299,536,575	\$75,634,648	\$260,679,985	\$71,243,549
130 *Cumulative Assistance		\$1,640,522,201	\$1,860,175,938	\$2,245,441,613	\$2,456,093,889	\$2,810,626,269	\$3,110,162,844	\$3,185,797,492	\$3,446,477,477	\$3,517,721,026
Type of DWSRF Assistance Provided (Number of Assistance Agreements)										
131 Executed Loan Commitments		22	25	6	14	41	9	18	11	20
132 Refinance Short-term Debt		7	9	10	17	10	6	0	0	0
133 Refinance Long-term Debt		14	16	27	1	8	1	0	0	0
134 Guarantee or Purchase Insurance		0	0	0	0	0	0	0	0	0
135 *Total Annual Number of Agreements		43	50	43	32	59	16	18	11	20
136 *Cumulative Number of Agreements		527	577	620	652	711	727	745	756	776
Assistance by Project Population Size (Dollars)										
137 Less than 501		\$19,560,484	\$54,674,441	\$25,193,478	\$26,528,796	\$42,768,238	\$2,715,152	\$8,321,054	\$5,691,271	\$11,588,049
138 501 to 3,300		\$42,971,970	\$74,930,440	\$61,282,471	\$53,417,108	\$96,013,264	\$22,565,585	\$47,709,627	\$30,688,714	\$42,291,576
139 3,301 to 10,000		\$10,849,486	\$15,516,260	\$25,765,785	\$9,589,316	\$5,653,278	\$55,868,326	\$18,008,000	\$7,300,000	\$11,972,133
140 10,001 to 100,000		\$8,921,127	\$7,333,260	\$58,827,979	\$8,000,000	\$13,854,802	\$27,912,801	\$1,595,967	\$0	\$5,391,791
141 100,001 and Above		\$99,793,350	\$67,199,336	\$214,195,962	\$113,117,056	\$196,242,798	\$190,474,711	\$0	\$217,000,000	\$0
142 *Total Annual Assistance		\$182,096,417	\$219,653,737	\$385,265,675	\$210,652,276	\$354,532,380	\$299,536,575	\$75,634,648	\$260,679,985	\$71,243,549
143 *Cumulative Assistance		\$1,640,522,551	\$1,860,176,288	\$2,245,441,963	\$2,456,094,239	\$2,810,626,619	\$3,110,163,194	\$3,185,797,842	\$3,446,477,827	\$3,517,721,376
Assistance by Population Size (Number of Assistance Agreements)										
144 Less than 501		19	18	12	11	15	3	4	3	4
145 501 to 3,300		14	23	18	14	16	5	9	7	13
146 3,301 to 10,000		3	5	4	3	20	6	3	1	2
147 10,001 to 100,000		4	1	5	1	4	1	2	0	1
148 100,001 and Above		3	3	4	3	4	1	0	0	0
149 *Total Annual Number of Agreements		43	50	43	32	59	16	18	11	20
150 *Cumulative Number of Agreements		527	577	620	652	711	727	745	756	776

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance		For the Reporting Year Ending June 30 of:								
		1997	1998	1999	2000	2001	2002	2003	2004	2005
Drinking Water System Project Assistance (Dollars in each category)										
151	Planning and Design Only	\$0	\$0	\$0	\$0	\$0	\$6,564,277	\$1,244,628	\$2,715,766	\$5,098,377
Construction										
152	Treatment	\$0	\$70,367,582	\$43,984,585	\$52,812,778	\$70,388,842	\$37,963,219	\$33,339,473	\$57,516,711	\$47,263,075
153	Transmission & Distribution	\$0	\$23,346,418	\$187,895,715	\$24,748,920	\$62,743,818	\$111,221,875	\$87,603,116	\$103,845,965	\$92,067,184
154	Source	\$0	\$6,786,403	\$4,519,755	\$6,855,976	\$6,474,478	\$7,102,646	\$2,378,937	\$2,725,036	\$9,293,287
155	Storage	\$0	\$15,757,317	\$7,295,816	\$21,142,695	\$14,204,705	\$19,031,419	\$16,115,275	\$18,364,951	\$12,703,221
156	Purchase of Systems	\$0	\$9,208,306	\$0	\$16,425,546	\$22,916,686	\$672,934	\$33,300	\$35,123,108	\$0
157	Restructuring	\$0	\$0	\$0	\$0	\$0	\$0	\$217,159	\$192,786	\$0
158	Land Acquisition	\$0	\$294,080	\$394,169	\$893,709	\$1,622,547	\$297,822	\$1,082,377	\$821,966	\$424,420
159	Other	\$0	\$9,844,655	\$14,017,714	\$11,428,385	\$15,914,877	\$11,996,431	\$0	\$2,298,244	\$8,820,672
160	*Total Annual Dollar Amount	\$0	\$135,604,761	\$258,107,754	\$134,308,009	\$194,265,953	\$194,850,623	\$142,014,265	\$223,604,533	\$175,670,236
161	*Cumulative Dollar Amount	\$0	\$135,604,761	\$393,712,515	\$528,020,524	\$722,286,477	\$917,137,100	\$1,059,151,365	\$1,282,755,898	\$1,458,426,134
Drinking Water System Project Assistance (Number in each category)**										
162	Planning and Design Only	0	0	0	0	0	2	2	1	15
Construction										
163	Treatment	0	32	18	25	26	20	16	29	25
164	Transmission & Distribution	0	15	26	32	44	70	30	53	40
165	Source	0	11	11	19	16	21	9	21	22
166	Storage	0	14	10	25	18	23	14	30	22
167	Purchase of Systems	0	3	0	2	1	2	1	3	0
168	Restructuring	0	0	0	0	0	0	1	3	0
169	Land Acquisition	0	9	15	17	19	15	11	29	22
170	Other	0	50	40	51	62	4	0	15	48
171	*Total Annual Number	0	134	120	171	186	157	84	184	194
172	*Cumulative Number	0	134	254	425	611	768	852	1,036	1,230

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance		<i>For the Reporting Year Ending June 30 of:</i>								
		2006	2007	2008	2009	2010	2011	2012	2013	2014
Drinking Water System Project Assistance (Dollars in each category)										
151	Planning and Design Only	\$0	\$20,843,132	\$0	\$0	\$186,590	\$0	\$0	\$0	\$42,938
Construction										
152	Treatment	\$37,982,467	\$59,928,621	\$135,311,688	\$25,808,736	\$35,176,996	\$243,310,170	\$10,034,032	\$224,565,383	\$13,023,799
153	Transmission & Distribution	\$115,863,260	\$107,791,391	\$208,052,564	\$119,075,032	\$228,477,457	\$45,050,925	\$47,920,225	\$28,497,316	\$41,849,904
154	Source	\$8,198,699	\$7,997,207	\$8,321,711	\$4,043,391	\$3,291,721	\$3,842,684	\$4,910,801	\$4,225,245	\$5,568,402
155	Storage	\$10,508,862	\$13,024,845	\$20,107,455	\$41,573,490	\$15,748,581	\$5,941,463	\$5,371,931	\$3,392,041	\$10,732,586
156	Purchase of Systems	\$2,153,255	\$6,162	\$0	\$0	\$0	\$0	\$0	\$0	\$0
157	Restructuring	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
158	Land Acquisition	\$400,663	\$695,216	\$291,504	\$1,137,153	\$840,793	\$447,450	\$424,625	\$0	\$0
159	Other	\$6,989,211	\$9,367,163	\$13,180,753	\$19,014,474	\$70,810,242	\$943,883	\$6,973,034	\$0	\$25,920
160	*Total Annual Dollar Amount	\$182,096,417	\$219,653,737	\$385,265,675	\$210,652,276	\$354,532,380	\$299,536,575	\$75,634,648	\$260,679,985	\$71,243,549
161	*Cumulative Dollar Amount	\$1,640,522,551	\$1,860,176,288	\$2,245,441,963	\$2,456,094,239	\$2,810,626,619	\$3,110,163,194	\$3,185,797,842	\$3,446,477,827	\$3,517,721,376
Drinking Water System Project Assistance (Number in each category)**										
162	Planning and Design Only	0	10	0	0	7	0	0	0	0
Construction										
163	Treatment	18	4	19	21	13	11	8	7	7
164	Transmission & Distribution	34	9	34	27	17	15	13	10	17
165	Source	16	4	18	15	11	8	2	8	9
166	Storage	18	6	20	17	15	9	4	5	17
167	Purchase of Systems	3	1	0	0	0	0	0	0	0
168	Restructuring	0	0	0	19	0	0	0	0	0
169	Land Acquisition	18	8	17	27	15	7	1	0	0
170	Other	33	8	41	0	20	8	12	0	0
171	*Total Annual Number	140	50	149	126	98	58	40	30	50
172	*Cumulative Number	1,370	1,420	1,569	1,695	1,793	1,851	1,891	1,921	1,971

* Calculated values.

** Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance		For the Reporting Year Ending June 30 of:								
		1997	1998	1999	2000	2001	2002	2003	2004	2005
Number of Projects Funded										
173	Annual Number of Projects Receiving Assistance	0	52	41	52	62	97	52	75	78
174	*Cumulative Number of Projects	0	52	93	145	207	304	356	431	509
DWSRF Project Starts										
175	Annual Dollar Amount	\$0	\$135,604,761	\$258,107,754	\$134,308,009	\$194,265,953	\$194,850,623	\$142,014,275	\$223,604,533	\$175,670,236
176	*Cumulative Dollar Amount	\$0	\$135,604,761	\$393,712,515	\$528,020,524	\$722,286,477	\$917,137,100	\$1,059,151,375	\$1,282,755,908	\$1,458,426,144
177	Annual Number of Assistance Agreements	0	52	41	52	62	97	52	75	53
178	*Cumulative Number of Agreements	0	52	93	145	207	304	356	431	484
DWSRF Project Completions										
179	Annual Dollar Amount	\$0	\$106,723,906	\$113,648,300	\$92,864,483	\$88,515,057	\$97,480,469	\$66,776,265	\$73,442,928	\$41,330,403
180	*Cumulative Dollar Amount	\$0	\$106,723,906	\$220,372,206	\$313,236,689	\$401,751,746	\$499,232,215	\$566,008,480	\$639,451,408	\$680,781,811
181	Annual Number of Assistance Agreements	0	47	30	41	23	38	23	20	23
182	*Cumulative Number of Agreements	0	47	77	118	141	179	202	222	245
183	Number of Projects Completed	0	47	30	41	23	38	23	20	23
184	*Cumulative Number of Projects Completed	0	47	77	118	141	179	202	222	245
Assistance to Disadvantaged Communities										
185	Annual Dollar Amount of Assistance to Disadvantaged Communities	\$0	\$5,009,194	\$10,812,020	\$25,072,107	\$38,577,988	\$68,597,191	\$66,700,032	\$103,923,556	\$100,166,830
186	*Cumulative Dollar Amount	\$0	\$5,009,194	\$15,821,214	\$40,893,321	\$79,471,309	\$148,068,500	\$214,768,532	\$318,692,088	\$418,858,918
187	Annual Number of Assistance Agreements	0	5	13	30	34	37	29	48	32
188	*Cumulative Number of Agreements	0	5	18	48	82	119	148	196	228
All Assistance with Subsidy										
189	Annual Dollar Amount of Total Assistance with Principal Forgiveness/Grant/Negative Interest (Loan + Subsidy amount)	\$0	\$0	\$0	\$0	\$18,616,238	\$40,580,490	\$12,168,121	\$21,124,394	\$23,002,417
190	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$18,616,238	\$59,196,728	\$71,364,849	\$92,489,243	\$115,491,660
191	Annual Dollar Amount of Principal Forgiveness/Grant/Negative Interest (Subsidy breakout amount only)	\$0	\$0	\$0	\$0	\$13,271,432	\$23,340,995	\$12,168,121	\$21,124,394	\$23,002,417
192	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$13,271,432	\$36,612,427	\$48,780,548	\$69,904,942	\$92,907,359
193	Annual Number of Assistance Agreements with Subsidy	0	0	0	0	8	21	8	16	15
194	*Cumulative Number of Agreements	0	0	0	0	8	29	37	53	68
All Assistance with Greater than 20-Year Repayment										
195	Annual Dollar Amount of Assistance with > 20-Year Repayment	\$0	\$0	\$0	\$1,983,000	\$38,577,988	\$68,597,191	\$54,531,911	\$32,241,323	\$70,045,245
196	*Cumulative Dollar Amount	\$0	\$0	\$0	\$1,983,000	\$40,560,988	\$109,158,179	\$163,690,090	\$195,931,413	\$265,976,658
197	Annual Number of Assistance Agreements with > 20-Year Repayment	0	0	0	1	34	37	29	18	21
198	*Cumulative Number of Agreements	0	0	0	1	35	72	101	119	140
Population Served (by the project) in Disadvantaged Communities Receiving Assistance										
199	Population Served	0	7,328	50,418	154,212	78,414	141,490	72,141	130,706	205,289
200	*Cumulative Population Served	0	7,328	57,746	211,958	290,372	431,862	504,003	634,709	839,999

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance	<i>For the Reporting Year Ending June 30 of:</i>								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
Number of Projects Funded									
173 Annual Number of Projects Receiving Assistance	43	50	43	32	59	16	18	11	20
174 *Cumulative Number of Projects	552	602	645	677	736	752	770	781	801
DWSRF Project Starts									
175 Annual Dollar Amount	\$182,096,057	\$219,653,737	\$385,265,675	\$210,652,276	\$354,532,380	\$299,536,575	\$75,634,648	\$260,679,985	\$71,243,549
176 *Cumulative Dollar Amount	\$1,640,522,201	\$1,860,175,938	\$2,245,441,613	\$2,456,093,889	\$2,810,626,269	\$3,110,162,844	\$3,185,797,492	\$3,446,477,477	\$3,517,721,026
177 Annual Number of Assistance Agreements	43	50	43	32	59	16	17	12	20
178 *Cumulative Number of Agreements	527	577	620	652	711	727	744	756	776
DWSRF Project Completions									
179 Annual Dollar Amount	\$19,919,772	\$13,368,450	\$29,887,916	\$15,671,590	\$186,621,180	\$206,451,606	\$9,668,000	\$46,646,477	\$57,776,785
180 *Cumulative Dollar Amount	\$700,701,583	\$714,070,033	\$743,957,949	\$759,629,539	\$946,250,719	\$1,152,702,325	\$1,162,370,325	\$1,209,016,802	\$1,266,793,587
181 Annual Number of Assistance Agreements	10	6	11	8	13	5	2	12	2
182 *Cumulative Number of Agreements	255	261	272	280	293	298	300	312	314
183 Number of Projects Completed	10	6	11	8	13	5	2	12	2
184 *Cumulative Number of Projects Completed	255	261	272	280	293	298	300	312	314
Assistance to Disadvantaged Communities									
185 Annual Dollar Amount of Assistance to Disadvantaged Communities	\$63,309,351	\$64,568,082	\$113,190,035	\$80,869,804	\$74,656,845	\$35,689,112	\$64,369,545	\$32,399,381	\$47,127,249
186 *Cumulative Dollar Amount	\$482,168,269	\$546,736,351	\$659,926,386	\$740,796,190	\$815,453,035	\$851,142,147	\$915,511,692	\$947,911,073	\$995,038,322
187 Annual Number of Assistance Agreements	29	24	33	24	21	9	13	6	12
188 *Cumulative Number of Agreements	257	281	314	338	359	368	381	387	399
All Assistance with Subsidy									
189 Annual Dollar Amount of Total Assistance with Principal Forgiveness/Grant/Negative Interest (Loan + Subsidy amount)	\$20,644,669	\$19,087,057	\$12,034,214	\$18,941,351	\$89,529,736	\$38,650,133	\$63,869,545	\$17,681,724	\$36,422,909
190 *Cumulative Dollar Amount	\$136,136,329	\$155,223,386	\$167,257,600	\$186,198,951	\$275,728,687	\$314,378,820	\$378,248,365	\$395,930,089	\$432,352,998
191 Annual Dollar Amount of Principal Forgiveness/Grant/Negative Interest (Subsidy breakout amount only)	\$20,644,669	\$19,087,057	\$12,034,214	\$18,941,351	\$58,567,001	\$2,961,021	\$20,333,340	\$7,328,269	\$13,703,947
192 *Cumulative Dollar Amount	\$113,552,028	\$132,639,085	\$144,673,299	\$163,614,650	\$222,181,651	\$225,142,672	\$245,476,012	\$252,804,281	\$266,508,228
193 Annual Number of Assistance Agreements with Subsidy	18	16	10	14	21	3	12	4	11
194 *Cumulative Number of Agreements	86	102	112	126	147	150	162	166	177
All Assistance with Greater than 20-Year Repayment									
195 Annual Dollar Amount of Assistance with > 20-Year Repayment	\$28,260,948	\$52,444,775	\$113,190,035	\$80,869,804	\$74,656,845	\$23,726,210	\$19,234,224	\$23,185,993	\$6,416,408
196 *Cumulative Dollar Amount	\$294,237,606	\$346,682,381	\$459,872,416	\$540,742,220	\$615,399,065	\$639,125,275	\$658,359,499	\$681,545,492	\$687,961,900
197 Annual Number of Assistance Agreements with > 20-Year Repayment	16	24	33	24	21	4	3	3	3
198 *Cumulative Number of Agreements	156	180	213	237	258	262	265	268	271
Population Served (by the project) in Disadvantaged Communities Receiving Assistance									
199 Population Served	31,557	139,067	114,111	42,539	93,024	154,010	21,223	8,329	48,357
200 *Cumulative Population Served	871,555	1,010,622	1,124,733	1,167,272	1,260,296	1,414,306	1,435,529	1,443,858	1,492,215

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance - Specific Project Information									
<i>For the Reporting Year Ending June 30 of:</i>									
	1997	1998	1999	2000	2001	2002	2003	2004	2005
Assistance for System Compliance with SDWA									
(Dollars in each category)									
201 Assisting Non-Compliant Systems to Achieve Compliance	\$0	\$117,752,862	\$216,183,636	\$105,038,398	\$157,623,132	\$143,918,657	\$123,114,361	\$123,253,464	\$91,307,291
202 *Cumulative Dollar Amount	\$0	\$117,752,862	\$333,936,498	\$438,974,896	\$596,598,028	\$740,516,685	\$863,631,046	\$986,884,510	\$1,078,191,801
203 Assisting Compliant Systems to Maintain Compliance	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
204 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
205 Assisting Compliant Systems to Meet Future Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
206 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
207 Other Assistance Not Compliance Related	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
208 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Number of Agreements in each category									
209 Assisting Non-Compliant Systems to Achieve Compliance	0	44	23	37	56	84	43	59	40
210 *Cumulative Number of Agreements	0	44	67	104	160	244	287	346	386
211 Assisting Compliant Systems to Maintain Compliance	0	0	0	0	0	0	0	0	0
212 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0	0
213 Assisting Compliant Systems to Meet Future Requirements	0	0	0	0	0	0	0	0	0
214 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0	0
215 Other Assistance Not Compliance Related	0	0	0	0	0	0	0	0	0
216 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0	0
Population Served (by the system(s)) in each category									
217 Assisting Non-Compliant Systems to Achieve Compliance	0	7,486,608	672,256	7,052,833	330,200	6,984,768	14,359,501	875,695	133,542
218 *Cumulative Population Served	0	7,486,608	8,158,864	15,211,697	15,541,897	22,526,665	36,886,166	37,761,861	37,895,403
219 Assisting Compliant Systems to Maintain Compliance	0	0	0	0	0	0	0	0	0
220 *Cumulative Population Served	0	0	0	0	0	0	0	0	0
221 Assisting Compliant Systems to Meet Future Requirements	0	0	0	0	0	0	0	0	0
222 *Cumulative Population Served	0	0	0	0	0	0	0	0	0
223 Other Assistance Not Compliance Related	0	0	0	0	0	0	0	0	0
224 *Cumulative Population Served	0	0	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance - Specific Project Information	<i>For the Reporting Year Ending June 30 of:</i>								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
Assistance for System Compliance with SDWA									
(Dollars in each category)									
201 Assisting Non-Compliant Systems to Achieve Compliance	\$75,549,291	\$106,751,716	\$167,975,834	\$233,608,520	\$218,482,392	\$268,200,538	\$61,690,519	\$238,883,538	\$56,019,889
202 *Cumulative Dollar Amount	\$1,153,741,092	\$1,260,492,808	\$1,428,468,642	\$1,662,077,162	\$1,880,559,554	\$2,148,760,092	\$2,210,450,611	\$2,449,334,149	\$2,505,354,038
203 Assisting Compliant Systems to Maintain Compliance	\$69,148,686	\$84,347,035	\$173,754,820	\$162,652,276	\$128,449,424	\$31,336,037	\$13,944,129	\$3,272,000	\$5,927,034
204 *Cumulative Dollar Amount	\$69,148,686	\$153,495,721	\$327,250,541	\$489,902,817	\$618,352,241	\$649,688,278	\$663,632,407	\$666,904,407	\$672,831,441
205 Assisting Compliant Systems to Meet Future Requirements	\$34,771,713	\$28,554,986	\$43,535,021	\$55,516,906	\$7,600,564	\$0	\$0	\$0	\$0
206 *Cumulative Dollar Amount	\$34,771,713	\$63,326,699	\$106,861,720	\$162,378,626	\$169,979,190	\$169,979,190	\$169,979,190	\$169,979,190	\$169,979,190
207 Other Assistance Not Compliance Related	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$18,524,447	\$9,296,626
208 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$18,524,447	\$27,821,073
Number of Agreements in each category									
209 Assisting Non-Compliant Systems to Achieve Compliance	22	30	20	31	42	14	11	7	17
210 *Cumulative Number of Agreements	408	438	458	489	531	545	556	563	580
211 Assisting Compliant Systems to Maintain Compliance	21	20	23	29	13	2	7	1	2
212 *Cumulative Number of Agreements	21	41	64	93	106	108	115	116	118
213 Assisting Compliant Systems to Meet Future Requirements	0	0	0	18	4	0	0	0	0
214 *Cumulative Number of Agreements	0	0	0	18	22	22	22	22	22
215 Other Assistance Not Compliance Related	0	0	0	0	0	0	0	3	1
216 *Cumulative Number of Agreements	0	0	0	0	0	0	0	3	4
Population Served (by the system(s)) in each category									
217 Assisting Non-Compliant Systems to Achieve Compliance	7,050,181	6,992,979	7,104,581	7,215,184	468,963	6,828,906	32,608	109,213	56,181
218 *Cumulative Population Served	44,945,584	51,938,563	59,043,144	66,258,328	66,727,291	73,556,197	73,588,805	73,698,018	73,754,199
219 Assisting Compliant Systems to Maintain Compliance	49,900	383,658	7,118,111	7,218,329	679,997	23,000	38,406	450	2,660
220 *Cumulative Population Served	49,900	433,558	7,551,669	14,769,998	15,449,995	15,472,995	15,511,401	15,511,851	15,514,511
221 Assisting Compliant Systems to Meet Future Requirements	14,500	39,067	86,540	49,484	488,967	0	0	0	0
222 *Cumulative Population Served	14,500	53,567	140,107	189,591	678,558	678,558	678,558	678,558	678,558
223 Other Assistance Not Compliance Related	0	0	0	0	0	0	0	1,000	0
224 *Cumulative Population Served	0	0	0	0	0	0	0	1,000	1,000

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance - Specific Project Information		For the Reporting Year Ending June 30 of:								
		1997	1998	1999	2000	2001	2002	2003	2004	2005
Assistance to Private Systems										
225	Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$1,353,859	\$2,872,278	\$300,000	\$258,633
226	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$1,353,859	\$4,226,137	\$4,526,137	\$4,784,770
227	Annual Number of Assistance Agreements	0	0	0	0	0	3	3	1	1
228	*Cumulative Number of Agreements	0	0	0	0	0	3	6	7	8
Assistance to Systems by Type										
229	Annual Number of Community Systems	0	52	41	52	62	97	52	75	53
230	*Cumulative Number of Community Systems	0	52	93	145	207	304	356	431	484
231	Annual Number of non-Community Systems	0	0	0	0	0	0	0	0	0
232	*Cumulative Number of non-Community Systems	0	0	0	0	0	0	0	0	0
233	Annual Population Served by Community Systems	0	7,546,495	7,266,162	7,083,184	500,977	9,434,125	14,411,945	14,341,791	13,604,871
234	*Cumulative Population Served by Community Systems	0	7,546,495	14,812,657	21,895,841	22,396,818	31,830,943	46,242,888	60,584,679	74,189,550
235	Annual Population Served by non-Community Systems	0	0	0	0	0	0	0	0	0
236	*Cumulative Population Served by non-Community Systems	0	0	0	0	0	0	0	0	0
Assistance for the Creation of New Systems										
237	Annual Dollar Amount	\$0	\$8,829,228	\$17,247,800	\$28,711,774	\$12,567,074	\$24,153,158	\$38,946,182	\$33,613,225	\$43,910,707
238	*Cumulative Dollar Amount	\$0	\$8,829,228	\$26,077,028	\$54,788,802	\$67,355,876	\$91,509,034	\$130,455,216	\$164,068,441	\$207,979,148
239	Annual Number of Assistance Agreements	0	3	9	14	10	9	17	25	10
240	*Cumulative Number of Agreements	0	3	12	26	36	45	62	87	97
Assistance for the Consolidation of Systems										
241	Annual Dollar Amount	\$0	\$39,363,401	\$32,740,812	\$51,717,911	\$86,208,936	\$29,427,277	\$50,029,247	\$33,162,095	\$26,289,244
242	*Cumulative Dollar Amount	\$0	\$39,363,401	\$72,104,213	\$123,822,124	\$210,031,060	\$239,458,337	\$289,487,584	\$322,649,679	\$348,938,923
243	Annual Number of Assistance Agreements	0	10	14	15	21	14	19	15	10
244	*Cumulative Number of Agreements	0	10	24	39	60	74	93	108	118
245	Annual Number of Systems Eliminated	0	19	34	50	62	23	36	22	30
246	*Cumulative Number of Systems Eliminated	0	19	53	103	165	188	224	246	276
Assistance to Indian Tribes										
247	Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
248	*Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
249	Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0	0
250	*Cumulative Number of Agreements	0	0	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

DWSRF Fund Assistance - Specific Project Information	<i>For the Reporting Year Ending June 30 of:</i>								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
Assistance to Private Systems									
225 Annual Dollar Amount	\$697,212	\$0	\$1,842,900	\$549,838	\$3,559,057	\$0	\$0	\$0	\$5,344,815
226 *Cumulative Dollar Amount	\$5,481,982	\$5,481,982	\$7,324,882	\$7,874,720	\$11,433,777	\$11,433,777	\$11,433,777	\$11,433,777	\$16,778,592
227 Annual Number of Assistance Agreements	1	0	1	1	3	0	0	0	2
228 *Cumulative Number of Agreements	9	9	10	11	14	14	14	14	16
Assistance to Systems by Type									
229 Annual Number of Community Systems	43	50	42	32	56	16	18	11	20
230 *Cumulative Number of Community Systems	527	577	619	651	707	723	741	752	772
231 Annual Number of non-Community Systems	0	0	0	0	0	0	0	0	0
232 *Cumulative Number of non-Community Systems	0	0	0	0	0	0	0	0	0
233 Annual Population Served by Community Systems	11,535,763	13,801,779	7,181,111	7,219,784	7,770,567	6,851,906	54,129	110,213	58,841
234 *Cumulative Population Served by Community Systems	85,725,313	99,527,092	106,708,203	113,927,987	121,698,554	128,550,460	128,604,589	128,714,802	128,773,643
235 Annual Population Served by non-Community Systems	0	0	0	0	0	0	0	0	0
236 *Cumulative Population Served by non-Community Systems	0	0	0	0	0	0	0	0	0
Assistance for the Creation of New Systems									
237 Annual Dollar Amount	\$32,836,200	\$77,684,642	\$85,975,658	\$10,485,423	\$19,525,557	\$12,952,047	\$23,311,054	\$17,329,731	\$9,275,000
238 *Cumulative Dollar Amount	\$240,815,348	\$318,499,990	\$404,475,648	\$414,961,071	\$434,486,628	\$447,438,675	\$470,749,729	\$488,079,460	\$497,354,460
239 Annual Number of Assistance Agreements	16	26	17	4	3	3	5	2	1
240 *Cumulative Number of Agreements	113	139	156	160	163	166	171	173	174
Assistance for the Consolidation of Systems									
241 Annual Dollar Amount	\$57,247,373	\$72,475,616	\$85,658,625	\$68,764,413	\$132,285,428	\$40,096,718	\$40,303,065	\$17,260,000	\$12,209,640
242 *Cumulative Dollar Amount	\$406,186,296	\$478,661,912	\$564,320,537	\$633,084,950	\$765,370,378	\$805,467,096	\$845,770,161	\$863,030,161	\$875,239,801
243 Annual Number of Assistance Agreements	25	22	18	18	23	5	6	2	5
244 *Cumulative Number of Agreements	143	165	183	201	224	229	235	237	242
245 Annual Number of Systems Eliminated	58	36	24	31	31	12	9	3	4
246 *Cumulative Number of Systems Eliminated	334	370	394	425	456	468	477	480	484
Assistance to Indian Tribes									
247 Annual Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
248 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
249 Annual Number of Assistance Agreements	0	0	0	0	0	0	0	0	0
250 *Cumulative Number of Agreements	0	0	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Fund Information	For the Reporting Year Ending June 30 of:								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
Leveraged Bonds (Excludes State Match)									
251 Gross Leveraged Bonds Issued - Annual	\$0	\$126,070,729	\$225,744,800	\$67,590,000	\$161,100,000	\$116,788,033	\$82,750,286	\$122,320,882	\$84,283,095
252 Net Leveraged Bonds Issued - Annual	\$0	\$123,837,483	\$219,213,844	\$66,205,131	\$158,855,094	\$115,426,564	\$81,948,307	\$124,354,512	\$84,960,677
253 Cost of Leveraged Bond Issuance - Annual	\$0	\$1,744,983	\$2,940,945	\$1,663,521	\$4,094,432	\$3,141,784	\$2,050,284	\$3,554,008	\$2,210,621
254 Leveraged Bond Principal Repaid - Annual	\$0	\$600,000	\$5,150,000	\$8,825,218	\$16,263,311	\$20,568,839	\$25,903,000	\$28,345,480	\$37,150,502
Debt Service Reserve for Leveraged Bonds									
255 *Annual Change	\$0	\$22,345,215	\$44,935,433	\$29,380,580	\$52,039,958	\$41,736,233	\$27,766,537	\$30,208,701	\$10,669,789
256 Balance at End of Reporting Period	\$0	\$22,345,215	\$67,280,648	\$96,661,228	\$148,701,186	\$190,437,419	\$218,203,956	\$248,412,657	\$259,082,446
257 Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
258 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$1,410,000	\$31,120,000	\$0
259 *Gross Leveraged Bonds Issued - Cumulative	\$0	\$126,070,729	\$351,815,529	\$419,405,529	\$580,505,529	\$697,293,562	\$780,043,848	\$902,364,730	\$986,647,825
260 *Net Leveraged Bonds Issued - Cumulative	\$0	\$123,837,483	\$343,051,327	\$409,256,458	\$568,111,552	\$683,538,116	\$765,486,423	\$889,840,935	\$974,801,612
261 *Cost of Leveraged Bond Issuance - Cumulative	\$0	\$1,744,983	\$4,685,928	\$6,349,449	\$10,443,881	\$13,585,665	\$15,635,949	\$19,189,957	\$21,400,578
262 *Leveraged Bond Principal Repaid - Cumulative	\$0	\$600,000	\$5,750,000	\$14,575,218	\$30,838,529	\$51,407,368	\$77,310,368	\$105,655,848	\$142,806,350
263 *Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
264 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$1,410,000	\$32,530,000	\$32,530,000
265 *Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$0	\$125,470,729	\$346,065,529	\$404,830,311	\$549,667,000	\$645,886,194	\$702,733,480	\$796,708,882	\$843,841,475
State Match Bonds to be Repaid by DWSRF Fund									
266 *Match Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
267 Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
268 *Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
269 *Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
270 *Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid on Leveraged and Match Bonds									
271 Interest Paid from Capitalized Interest Account and Other	\$0	\$986,176	\$18,436,790	\$19,726,626	\$22,889,260	\$28,913,795	\$33,387,207	\$34,084,227	\$37,571,930
272 Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds									
273 *Annual Dollar Amount	\$0	\$986,176	\$18,436,790	\$19,726,626	\$22,889,260	\$28,913,795	\$33,387,207	\$34,084,227	\$37,571,930
274 *Cumulative Dollar Amount	\$0	\$986,176	\$19,422,966	\$39,149,592	\$62,038,852	\$90,952,647	\$124,339,854	\$158,424,081	\$195,996,011

*Calculated Values

**Drinking Water SRF Program Information
for the State of New York**

Fund Information		<i>For the Reporting Year Ending June 30 of:</i>								
		2006	2007	2008	2009	2010	2011	2012	2013	2014
Leveraged Bonds (Excludes State Match)										
251	Gross Leveraged Bonds Issued - Annual	\$97,378,988	\$96,440,000	\$222,090,000	\$67,605,000	\$140,960,000	\$147,210,000	\$66,415,000	\$5,785,000	\$11,770,000
252	Net Leveraged Bonds Issued - Annual	\$101,185,013	\$92,082,966	\$133,034,509	\$68,901,843	\$142,904,715	\$292,441,280	\$65,266,084	\$6,784,858	\$10,715,091
253	Cost of Leveraged Bond Issuance - Annual	\$2,463,924	\$2,481,505	\$4,584,167	\$1,677,179	\$3,831,025	\$14,553,720	\$1,148,925	\$98,084	\$2,306,257
254	Leveraged Bond Principal Repaid - Annual	\$37,922,669	\$41,285,645	\$44,964,721	\$48,497,267	\$55,512,000	\$54,665,000	\$59,915,000	\$61,170,000	\$62,355,000
Debt Service Reserve for Leveraged Bonds										
255	*Annual Change	\$9,912,900	(\$2,139,347)	(\$37,855,869)	\$32,970,986	(\$6,040,149)	(\$67,140,860)	(\$66,496,726)	(\$10,322,250)	(\$28,528,641)
256	Balance at End of Reporting Period	\$268,995,346	\$266,855,999	\$229,000,130	\$261,971,116	\$255,930,967	\$188,790,107	\$122,293,381	\$111,971,131	\$83,442,490
257	Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0	(\$23,365,000)	(\$58,545,000)	(\$32,750,000)	(\$1,555,000)	(\$27,315,000)
258	DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0	\$24,428,205	\$53,990,633	\$25,049,024	\$3,584,150	\$30,040,575
259	*Gross Leveraged Bonds Issued - Cumulative	\$1,084,026,813	\$1,180,466,813	\$1,402,556,813	\$1,470,161,813	\$1,611,121,813	\$1,758,331,813	\$1,824,746,813	\$1,830,531,813	\$1,842,301,813
260	*Net Leveraged Bonds Issued - Cumulative	\$1,075,986,625	\$1,168,069,591	\$1,301,104,100	\$1,370,005,943	\$1,512,910,658	\$1,805,351,938	\$1,870,618,022	\$1,877,402,880	\$1,888,117,971
261	*Cost of Leveraged Bond Issuance - Cumulative	\$23,864,502	\$26,346,007	\$30,930,174	\$32,607,353	\$36,438,378	\$50,992,098	\$52,141,023	\$52,239,107	\$54,545,364
262	*Leveraged Bond Principal Repaid - Cumulative	\$180,729,019	\$222,014,664	\$266,979,385	\$315,476,652	\$370,988,652	\$425,653,652	\$485,568,652	\$546,738,652	\$609,093,652
263	*Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0	(\$23,365,000)	(\$81,910,000)	(\$114,660,000)	(\$116,215,000)	(\$143,530,000)
264	*DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$32,530,000	\$32,530,000	\$32,530,000	\$32,530,000	\$56,958,205	\$110,948,838	\$135,997,862	\$139,582,012	\$169,622,587
265	*Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$903,297,794	\$958,452,149	\$1,135,577,428	\$1,154,685,161	\$1,216,768,161	\$1,250,768,161	\$1,224,518,161	\$1,167,578,161	\$1,089,678,161
State Match Bonds to be Repaid by DWSRF Fund										
266	*Match Bonds Issued - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
267	Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
268	*Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
269	*Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
270	*Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid on Leveraged and Match Bonds										
271	Interest Paid from Capitalized Interest Account and Other	\$39,358,364	\$43,565,980	\$44,092,552	\$47,380,409	\$0	\$0	\$0	\$0	\$0
272	Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds										
273	*Annual Dollar Amount	\$39,358,364	\$43,565,980	\$44,092,552	\$47,380,409	\$0	\$0	\$0	\$0	\$0
274	*Cumulative Dollar Amount	\$235,354,375	\$278,920,355	\$323,012,907	\$370,393,316	\$370,393,316	\$370,393,316	\$370,393,316	\$370,393,316	\$370,393,316

*Calculated Values

**Drinking Water SRF Program Information
for the State of New York**

Fund Information	For the Reporting Year Ending June 30 of:								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
DWSRF Project Assistance Disbursed									
275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$0	\$37,488,078	\$276,287,007	\$78,056,108	\$175,387,842	\$172,516,135	\$128,029,601	\$167,763,377	\$102,251,071
276 *Cumulative DWSRF Fund Disbursements	\$0	\$37,488,078	\$313,775,085	\$391,831,193	\$567,219,035	\$739,735,170	\$867,764,771	\$1,035,528,148	\$1,137,779,219
277 Annual 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
278 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account									
279 Number of Projects Initiating Principal Repayments	0	8	37	46	49	51	54	27	38
280 *Cumulative Number of Projects Initiating Principal Repayments	0	8	45	91	140	191	245	272	310
281 Principal Repayments - Annual	\$0	\$608,392	\$9,377,152	\$9,425,929	\$18,170,154	\$23,669,131	\$30,282,943	\$37,955,262	\$57,733,808
282 Interest Payments - Annual	\$0	\$1,015,016	\$18,570,283	\$19,867,997	\$23,099,866	\$29,252,292	\$33,998,772	\$34,657,805	\$38,618,090
283 *Principal and Interest - Annual	\$0	\$1,623,408	\$27,947,435	\$29,293,926	\$41,270,020	\$52,921,423	\$64,281,715	\$72,613,067	\$96,351,898
284 *Principal Repayments - Cumulative	\$0	\$608,392	\$9,985,544	\$19,411,473	\$37,581,627	\$61,250,758	\$91,533,701	\$129,488,963	\$187,222,771
285 *Interest Payments - Cumulative	\$0	\$1,015,016	\$19,585,299	\$39,453,296	\$62,553,162	\$91,805,454	\$125,804,226	\$160,462,031	\$199,080,121
286 *Principal and Interest - Cumulative	\$0	\$1,623,408	\$29,570,843	\$58,864,769	\$100,134,789	\$153,056,212	\$217,337,927	\$289,950,994	\$386,302,892
287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments	0.000	3.217	3.149	2.697	3.000	2.460	2.740	2.650	2.470
288 State Market Interest Rate	0.000	4.940	4.736	5.327	5.110	4.650	4.700	4.350	4.420
DWSRF 1452(k) Loans Separately Maintained 1452(k) Loans Only									
289 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
290 Interest Payments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
291 *Principal and Interest - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
292 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
293 *Interest Payments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
294 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
295 Weighted Average Interest Rate on 1452(k) Loans	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Interest Earnings on Investments									
296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$0	\$284,210	\$6,807,242	\$8,935,136	\$11,236,348	\$20,048,850	\$13,934,726	\$13,970,750	\$18,299,120
297 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$0	\$284,210	\$7,091,452	\$16,026,588	\$27,262,936	\$47,311,786	\$61,246,512	\$75,217,262	\$93,516,382
298 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees Charged on DWSRF Assistance									
300 Annual Income from Fees Included in Loans	\$0	\$0	\$229,334	\$729,073	\$1,749,397	\$1,385,483	\$968,199	\$1,566,457	\$1,008,190
301 Annual Income from Fees not Included in Loans	\$0	\$13,533	\$1,013,872	\$923,578	\$823,646	\$959,965	\$1,142,725	\$1,263,722	\$1,242,188
302 Annual Interest Earnings from Fee Account	\$0	\$707	\$10,034	\$79,078	\$157,401	\$70,350	\$92,395	\$83,335	\$223,688
303 *Total Annual Income from Fees	\$0	\$14,240	\$1,253,240	\$1,731,729	\$2,730,444	\$2,415,798	\$2,203,319	\$2,913,514	\$2,474,066
304 *Cumulative Income from Fees	\$0	\$14,240	\$1,267,480	\$2,999,209	\$5,729,653	\$8,145,451	\$10,348,770	\$13,262,284	\$15,736,350
Expenses Paid from DWSRF Fee Accounts									
305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$0	\$0	\$125,000	\$39,820	\$57,498	\$141,759	\$152,809	\$72,463	\$224,340
306 *Cumulative Expenses Paid to Administer DWSRF Fund	\$0	\$0	\$125,000	\$164,820	\$222,318	\$364,077	\$516,886	\$589,349	\$813,689
307 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
308 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees									
311 Annual Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
312 *Cumulative Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

**Drinking Water SRF Program Information
for the State of New York**

Fund Information	For the Reporting Year Ending June 30 of:								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
DWSRF Project Assistance Disbursed									
275 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$211,067,598	\$162,282,878	\$270,467,924	\$215,506,765	\$355,426,380	\$481,064,130	\$256,894,463	\$294,133,543	\$133,098,125
276 *Cumulative DWSRF Fund Disbursements	\$1,348,846,817	\$1,511,129,695	\$1,781,597,619	\$1,997,104,384	\$2,352,530,764	\$2,833,594,894	\$3,090,489,357	\$3,384,622,900	\$3,517,721,025
277 Annual 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
278 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Loans - All Loans Except 1452(k) Loans Maintained in a Separate Account									
279 Number of Projects Initiating Principal Repayments	22	32	29	34	36	61	51	9	17
280 *Cumulative Number of Projects Initiating Principal Repayments	332	364	393	427	463	524	575	584	601
281 Principal Repayments - Annual	\$77,548,924	\$101,886,958	\$117,277,018	\$98,043,019	\$74,984,642	\$83,591,766	\$98,072,276	\$99,657,642	\$102,958,127
282 Interest Payments - Annual	\$40,308,216	\$44,642,549	\$45,054,056	\$49,645,068	\$34,326,784	\$35,120,110	\$33,533,093	\$32,621,042	\$32,106,731
283 *Principal and Interest - Annual	\$117,857,140	\$146,529,507	\$162,331,074	\$147,688,087	\$109,311,426	\$118,711,876	\$131,605,369	\$132,278,684	\$135,064,858
284 *Principal Repayments - Cumulative	\$264,771,695	\$366,658,653	\$483,935,671	\$581,978,690	\$656,963,332	\$740,555,098	\$838,627,374	\$938,285,016	\$1,041,243,143
285 *Interest Payments - Cumulative	\$239,388,337	\$284,030,886	\$329,084,942	\$378,730,010	\$413,056,794	\$448,176,904	\$481,709,997	\$514,331,039	\$546,437,770
286 *Principal and Interest - Cumulative	\$504,160,032	\$650,689,539	\$813,020,613	\$960,708,700	\$1,070,020,126	\$1,188,732,002	\$1,320,337,371	\$1,452,616,055	\$1,587,680,913
287 Weighted Average Interest Rate on DWSRF Executed Loan Commitments	2.235	1.795	2.169	2.258	2.170	2.197	3.000	0.705	1.669
288 State Market Interest Rate	4.231	4.357	4.236	4.586	3.400	3.470	4.000	2.944	3.561
DWSRF 1452(k) Loans Separately Maintained 1452(k) Loans Only									
289 Principal Repayments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
290 Interest Payments - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
291 *Principal and Interest - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
292 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
293 *Interest Payments - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
294 *Principal and Interest - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
295 Weighted Average Interest Rate on 1452(k) Loans	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000	0.000
Interest Earnings on Investments									
296 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$23,439,647	\$26,918,498	\$22,485,928	\$13,459,500	\$13,855,259	\$9,967,072	\$19,186,425	\$12,090,266	\$3,528,737
297 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$116,956,029	\$143,874,527	\$166,360,455	\$179,819,955	\$193,675,214	\$203,642,286	\$222,828,711	\$234,918,977	\$238,447,714
298 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
299 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Fees Charged on DWSRF Assistance									
300 Annual Income from Fees Included in Loans	\$1,205,855	\$1,134,621	\$1,487,457	\$1,027,075	\$2,043,658	\$2,136,427	\$414,394	\$350,172	\$368,654
301 Annual Income from Fees not Included in Loans	\$1,230,525	\$1,301,017	\$1,321,620	\$1,483,229	\$1,502,954	\$1,619,111	\$1,736,378	\$1,488,593	\$1,354,440
302 Annual Interest Earnings from Fee Account	\$486,316	\$692,704	\$431,563	\$88,627	\$197,374	\$20,031	\$4,759	\$15,618	\$3,229
303 *Total Annual Income from Fees	\$2,922,696	\$3,128,342	\$3,240,640	\$2,598,931	\$3,743,986	\$3,775,569	\$2,155,531	\$1,854,383	\$1,726,323
304 *Cumulative Income from Fees	\$18,659,046	\$21,787,388	\$25,028,028	\$27,626,959	\$31,370,945	\$35,146,514	\$37,302,045	\$39,156,428	\$40,882,751
Expenses Paid from DWSRF Fee Accounts									
305 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$246,344	\$356,096	\$177,116	\$274,689	\$1,020,786	\$858,228	\$1,610,488	\$1,655,392	\$1,851,320
306 *Cumulative Expenses Paid to Administer DWSRF Fund	\$1,060,033	\$1,416,129	\$1,593,245	\$1,867,934	\$2,888,720	\$3,746,948	\$5,357,436	\$7,012,828	\$8,864,148
307 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
308 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
309 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
310 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees									
311 Annual Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
312 *Cumulative Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

* Calculated values.

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
Coordinated DWSRF Funding with Other State or Federal Funding Sources									
313 Amount of Coordinated Funding	\$0	\$23,093,472	\$37,535,297	\$21,130,645	\$7,450,193	\$9,140,613	\$1,957,155	\$30,406,027	\$15,591,759
314 *Cumulative Amount of Coordinated Funding	\$0	\$23,093,472	\$60,628,769	\$81,759,414	\$89,209,607	\$98,350,220	\$100,307,375	\$130,713,402	\$146,305,161
315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	7	17	9	4	27	5	27	18
316 *Cumulative Number of DWSRF Assistance Agreements	0	7	24	33	37	64	69	96	114
317 DWSRF Portion of Coordinated Funding	\$0	\$23,065,621	\$31,462,583	\$20,489,134	\$6,020,193	\$1,196,793	\$2,204,500	\$22,238,185	\$59,619,271
318 *Cumulative DWSRF Portion of Coordinated Funding	\$0	\$23,065,621	\$54,528,204	\$75,017,338	\$81,037,531	\$82,234,324	\$84,438,824	\$106,677,009	\$166,296,280
State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)									
319 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
320 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
321 Annual Number of Loans	0	0	0	0	0	0	0	0	0
322 *Cumulative Number of Loans	0	0	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)									
323 Annual Dollar Amount of Grants	\$0	\$4,951,546	\$22,392,127	\$42,139,742	\$20,392,747	\$0	\$0	\$123,838	\$0
324 *Cumulative Dollar Amount	\$0	\$4,951,546	\$27,343,673	\$69,483,415	\$89,876,162	\$89,876,162	\$89,876,162	\$90,000,000	\$90,000,000
325 Annual Number of Grants	0	5	16	29	16	0	0	1	0
326 *Cumulative Number of Grants	0	5	21	50	66	66	66	67	67
Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)									
327 *Dollar Amount of Loans and Grants - Annual	\$0	\$4,951,546	\$22,392,127	\$42,139,742	\$20,392,747	\$0	\$0	\$123,838	\$0
328 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$4,951,546	\$27,343,673	\$69,483,415	\$89,876,162	\$89,876,162	\$89,876,162	\$90,000,000	\$90,000,000
329 *Number of Loans and Grants - Annual	0	5	16	29	16	0	0	1	0
330 *Number of Loans and Grants - Cumulative	0	5	21	50	66	66	66	67	67
State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)									
331 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
332 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
333 Annual Number of Loans	0	0	0	0	0	0	0	0	0
334 *Cumulative Number of Loans	0	0	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)									
335 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
336 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
337 Annual Number of Grants	0	0	0	0	0	0	0	0	0
338 *Cumulative Number of Grants	0	0	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)									
339 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
340 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
341 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0	0	0
342 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
Coordinated DWSRF Funding with Other State or Federal Funding Sources									
313 Amount of Coordinated Funding	\$11,569,697	\$25,740,356	\$11,612,714	\$10,522,321	\$24,222,815	\$25,164,595	\$23,847,608	\$3,656,778	\$31,373,627
314 *Cumulative Amount of Coordinated Funding	\$157,874,858	\$183,615,214	\$195,227,928	\$205,750,249	\$229,973,064	\$255,137,659	\$278,985,267	\$282,642,045	\$314,015,672
315 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	18	21	21	11	17	5	5	3	9
316 *Cumulative Number of DWSRF Assistance Agreements	132	153	174	185	202	207	212	215	224
317 DWSRF Portion of Coordinated Funding	\$40,030,385	\$68,573,224	\$66,304,100	\$62,841,811	\$12,622,426	\$16,458,195	\$22,276,508	\$2,436,716	\$28,230,985
318 *Cumulative DWSRF Portion of Coordinated Funding	\$206,326,665	\$274,899,889	\$341,203,989	\$404,045,800	\$416,668,226	\$433,126,421	\$455,402,929	\$457,839,645	\$486,070,630
State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)									
319 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
320 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
321 Annual Number of Loans	0	0	0	0	0	0	0	0	0
322 *Cumulative Number of Loans	0	0	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)									
323 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
324 *Cumulative Dollar Amount	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000
325 Annual Number of Grants	0	0	0	0	0	0	0	0	0
326 *Cumulative Number of Grants	67	67	67	67	67	67	67	67	67
Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)									
327 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
328 *Dollar Amount of Loans and Grants - Cumulative	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000	\$90,000,000
329 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0	0	0
330 *Number of Loans and Grants - Cumulative	67	67	67	67	67	67	67	67	67
State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)									
331 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
332 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
333 Annual Number of Loans	0	0	0	0	0	0	0	0	0
334 *Cumulative Number of Loans	0	0	0	0	0	0	0	0	0
State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)									
335 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
336 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
337 Annual Number of Grants	0	0	0	0	0	0	0	0	0
338 *Cumulative Number of Grants	0	0	0	0	0	0	0	0	0
Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)									
339 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
340 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
341 *Number of Loans and Grants - Annual	0	0	0	0	0	0	0	0	0
342 *Number of Loans and Grants - Cumulative	0	0	0	0	0	0	0	0	0

* Calculated values.

**Drinking Water SRF Program Information
for the State of New York**

Lead State Agency

343	Lead State Agency Name:	Department of Health
344	Type of Agency:	HEALTH
345	Mailing Address:	Empire State Plaza Corning Tower Rm 1110
346	City:	Albany
347	Zip Code:	12237
348	Street Address:	Empire State Plaza Corning Tower Rm 1110
349	City:	Albany
350	Zip Code:	12237
351	Director:	Roger Sokol
352	Director's Title:	Director - Bureau of Water Supply Protection
353	Phone Number:	(518) 402-7650
354	Fax Number:	(518) 402-7659
355	E-mail Address:	roger.sokol@health.ny.gov
356	Contact Person:	Michael J. Montysko
357	Phone Number:	(518) 402-7650
358	Fax Number:	(518) 402-7659
359	E-mail Address:	michael.montysko@health.ny.gov

Secondary Agency

360	Secondary Agency Name:	Environmental Facilities Corporation
361	Type of Agency:	FINANCIAL
362	Mailing Address:	625 Broadway
363	City:	Albany
364	Zip Code:	12207-2997
365	Street Address:	625 Broadway
366	City:	Albany
367	Zip Code:	12207-2997
368	Director:	Mathew J. Driscoll
369	Director's Title:	President & CEO
370	Phone Number:	(518) 402-6951
371	Fax Number:	(518) 402-6954
372	E-mail Address:	mathew.driscoll@efc.ny.gov
373	Contact Person:	Mathew J. Driscoll
374	Phone Number :	(518) 402-6951
375	Fax Number:	(518) 402-6954
376	E-mail Address:	driscoll@nysefc.org

Other Agency

377	Other Agency Name:	
378	Type of Agency:	
379	Mailing Address:	
380	City:	
381	Zip Code:	
382	Street Address:	
383	City:	
384	Zip Code:	
385	Director:	
386	Director's Title:	
387	Phone Number:	
388	Fax Number:	
389	E-mail Address:	
390	Contact Person:	
391	Phone Number:	
392	Fax Number:	
393	E-mail Address:	

**Drinking Water SRF Program Information
for the State of New York**

Fund Analysis	For the Reporting Year Ending June 30 of:								
	1997	1998	1999	2000	2001	2002	2003	2004	2005
DWSRF Funds Available for Projects									
394 *Annual (New Funds)	0	185,922,901	294,430,553	166,149,870	216,218,352	178,442,812	177,885,635	172,409,316	171,526,971
395 *Cumulative	0	185,922,901	480,353,454	646,503,324	862,721,676	1,041,164,488	1,219,050,123	1,391,459,439	1,562,986,410
DWSRF Assistance as a % of Funds Available									
396 *Annual	-	73%	88%	81%	90%	109%	80%	130%	102%
397 *Cumulative	-	73%	82%	82%	84%	88%	87%	92%	93%
Outlays as a % of Capitalization Grants									
398 *Annual	-	0%	68%	20%	90%	-	109%	117%	74%
399 *Cumulative	-	0%	41%	36%	47%	90%	94%	97%	94%
Disbursements as a % of Funds Available									
400 *Annual	-	20%	94%	47%	81%	97%	72%	97%	60%
401 *Cumulative	-	20%	65%	61%	66%	71%	71%	74%	73%
Project Starts as a % of Funds Available									
402 *Annual	-	73%	88%	81%	90%	109%	80%	130%	102%
403 *Cumulative	-	73%	82%	82%	84%	88%	87%	92%	93%
Project Completions as a % of Funds Available									
404 *Annual	-	57%	39%	56%	41%	55%	38%	43%	24%
405 *Cumulative	-	57%	46%	48%	47%	48%	46%	46%	44%
Loan Principal Repayments as a % of Funds Available									
406 *Annual	-	0%	3%	6%	8%	13%	17%	22%	34%
407 *Cumulative	-	0%	2%	3%	4%	6%	8%	9%	12%
Disbursements as a % of DWSRF Assistance									
408 *Annual	-	28%	107%	58%	90%	89%	90%	75%	58%
409 *Cumulative	-	28%	80%	74%	79%	81%	82%	81%	78%
Project Starts as a % of DWSRF Assistance									
410 *Annual	-	100%	100%	100%	100%	100%	100%	100%	100%
411 *Cumulative	-	100%	100%	100%	100%	100%	100%	100%	100%
Project Completions as a % of DWSRF Assistance									
412 *Annual	-	79%	44%	69%	46%	50%	47%	33%	24%
413 *Cumulative	-	79%	56%	59%	56%	54%	53%	50%	47%
Loan Principal Repayments as a % of DWSRF Assistance									
414 *Annual	-	0%	4%	7%	9%	12%	21%	17%	33%
415 *Cumulative	-	0%	3%	4%	5%	7%	9%	10%	13%
Project Completions as a % of Project Starts									
416 *Annual	-	79%	44%	69%	46%	50%	47%	33%	24%
417 *Cumulative	-	79%	56%	59%	56%	54%	53%	50%	47%
Financial Indicators based on Cumulative Activity									
418 *Return on Federal Investment	-	-	509.7%	567.5%	509.8%	343.3%	312.0%	297.9%	290.5%
419 *Assistance Provided as a % of Funds Available	-	72.9%	82.0%	81.7%	83.7%	88.1%	86.9%	92.2%	93.3%
420 *Disbursements as a % of Assistance Provided	-	27.6%	79.7%	74.2%	78.5%	80.7%	81.9%	80.7%	78.0%
421 *Additional Assistance Provided Due to Leveraging	-	\$51,174,128	\$189,129,740	\$194,112,430	\$278,975,167	\$369,073,309	\$385,973,719	\$500,194,747	\$578,628,900
422 *Gross Return/(Loss) after Repaying Match Bonds Including Subsidy	\$0	\$313,050	\$7,253,785	\$16,330,292	\$14,505,814	\$11,552,166	\$12,520,336	(\$25,179,730)	(\$28,836,867)
423 *Gross Return on Contributed Capital Including Subsidy	-	0.9%	6.1%	11.0%	6.5%	3.0%	2.5%	-4.2%	-4.5%
424 *Set-Aside Spending Rate	-	14.2%	24.8%	41.2%	50.6%	67.2%	75.6%	74.8%	76.6%
425 *Net Return/(Loss) after Repaying Match Bonds Excluding Subsidy	\$0	\$313,050	\$7,253,785	\$16,330,292	\$27,777,246	\$48,164,593	\$61,300,884	\$44,725,212	\$64,070,492
426 *Net Return on Contributed Capital Excluding Subsidy	-	0.9%	6.1%	11.0%	13.2%	13.6%	13.5%	8.4%	11.7%
Subsidy Information from PBR as of Report Date									
427 Grant Amount	0	0	0	0	0	0	0	0	0
428 Negative Interest	0	0	0	0	0	0	0	0	0
429 Principal Forgiven	0	0	0	0	0	0	0	0	0
430 * Total Annual Subsidy	0	0	0	0	0	0	0	0	0
431 * Total Cumulative Subsidy	0	0	0	0	0	0	0	0	0
Green Project Reserve (GPR) from PBR as of Report Date									
432 Green Infrastructure									
433 Energy Efficiency									
434 Water Conservation									
435 Green Innovative									
436 * Total Annual GPR									

**Drinking Water SRF Program Information
for the State of New York**

Fund Analysis	<i>For the Reporting Year Ending June 30 of:</i>								
	2006	2007	2008	2009	2010	2011	2012	2013	2014
DWSRF Funds Available for Projects									
394 *Annual (New Funds)	213,663,391	213,592,713	297,426,027	137,465,768	304,596,284	459,994,687	249,897,900	146,982,308	134,521,052
395 *Cumulative	1,776,649,801	1,990,242,514	2,287,668,541	2,425,134,309	2,729,730,593	3,189,725,280	3,439,623,180	3,586,605,488	3,721,126,540
DWSRF Assistance as a % of Funds Available									
396 *Annual	85%	103%	130%	153%	116%	65%	30%	177%	53%
397 *Cumulative	92%	93%	98%	101%	103%	98%	93%	96%	95%
Outlays as a % of Capitalization Grants									
398 *Annual	124%	95%	103%	107%	56%	80%	80%	149%	54%
399 *Cumulative	98%	98%	98%	99%	91%	90%	89%	93%	91%
Disbursements as a % of Funds Available									
400 *Annual	99%	76%	91%	157%	117%	105%	103%	200%	99%
401 *Cumulative	76%	76%	78%	82%	86%	89%	90%	94%	95%
Project Starts as a % of Funds Available									
402 *Annual	85%	103%	130%	153%	116%	65%	30%	177%	53%
403 *Cumulative	92%	93%	98%	101%	103%	98%	93%	96%	95%
Project Completions as a % of Funds Available									
404 *Annual	9%	6%	10%	11%	61%	45%	4%	32%	43%
405 *Cumulative	39%	36%	33%	31%	35%	36%	34%	34%	34%
Loan Principal Repayments as a % of Funds Available									
406 *Annual	36%	48%	39%	71%	25%	18%	39%	68%	77%
407 *Cumulative	15%	18%	21%	24%	24%	23%	24%	26%	28%
Disbursements as a % of DWSRF Assistance									
408 *Annual	116%	74%	70%	102%	100%	161%	340%	113%	187%
409 *Cumulative	82%	81%	79%	81%	84%	91%	97%	98%	100%
Project Starts as a % of DWSRF Assistance									
410 *Annual	100%	100%	100%	100%	100%	100%	100%	100%	100%
411 *Cumulative	100%	100%	100%	100%	100%	100%	100%	100%	100%
Project Completions as a % of DWSRF Assistance									
412 *Annual	11%	6%	8%	7%	53%	69%	13%	18%	81%
413 *Cumulative	43%	38%	33%	31%	34%	37%	36%	35%	36%
Loan Principal Repayments as a % of DWSRF Assistance									
414 *Annual	43%	46%	30%	47%	21%	28%	130%	38%	145%
415 *Cumulative	16%	20%	22%	24%	23%	24%	26%	27%	30%
Project Completions as a % of Project Starts									
416 *Annual	11%	6%	8%	7%	53%	69%	13%	18%	81%
417 *Cumulative	43%	38%	33%	31%	34%	37%	36%	35%	36%
Financial Indicators based on Cumulative Activity									
418 *Return on Federal Investment	288.6%	303.9%	335.7%	354.4%	375.2%	409.7%	421.2%	412.8%	415.0%
419 *Assistance Provided as a % of Funds Available	92.3%	93.5%	98.2%	101.3%	103.0%	97.5%	92.6%	96.1%	94.5%
420 *Disbursements as a % of Assistance Provided	82.2%	81.2%	79.3%	81.3%	83.7%	91.1%	97.0%	98.2%	100.0%
421 *Additional Assistance Provided Due to Leveraging	\$638,333,679	\$738,617,016	\$997,347,042	\$1,106,464,407	\$1,280,917,162	\$1,426,050,557	\$1,358,501,091	\$1,485,721,726	\$1,431,647,380
422 *Gross Return/(Loss) after Repaying Match Bonds Including Subsidy	(\$25,092,037)	(\$16,184,027)	(\$4,770,809)	(\$7,988,001)	(\$42,801,164)	(\$54,665,636)	(\$47,328,482)	(\$13,529,593)	(\$21,638,647)
423 *Gross Return on Contributed Capital Including Subsidy	-3.5%	-2.2%	-0.6%	-1.0%	-4.9%	-5.9%	-4.9%	-1.3%	-2.0%
424 *Set-Aside Spending Rate	80.2%	84.9%	87.0%	99.2%	94.2%	94.1%	94.7%	90.4%	89.4%
425 *Net Return/(Loss) after Repaying Match Bonds Excluding Subsidy	\$88,459,991	\$116,455,058	\$139,902,490	\$155,626,649	\$179,380,487	\$170,477,036	\$198,147,530	\$239,274,688	\$244,869,581
426 *Net Return on Contributed Capital Excluding Subsidy	14.7%	19.1%	22.3%	24.3%	27.9%	24.2%	27.4%	29.9%	30.2%
Subsidy Information from PBR as of Report Date									
427 Grant Amount	0	1,227,694	0	0	27,982,084	6,134,030	20,333,340	6,931,491	13,703,947
428 Negative Interest	0	0	0	0	0	0	0	0	0
429 Principal Forgiven	0	0	0	0	61,752,272	0	0	0	0
430 * Total Annual Subsidy	0	1,227,694	0	0	89,734,356	6,134,030	20,333,340	6,931,491	13,703,947
431 * Total Cumulative Subsidy	0	1,227,694	1,227,694	1,227,694	90,962,050	97,096,080	117,429,420	124,360,911	138,064,857
Green Project Reserve (GPR) from PBR as of Report Date									
432 Green Infrastructure			0	0	0	0	0	0	0
433 Energy Efficiency			0	0	25,109,276			0	0
434 Water Conservation			0	0	2,779,723	0	0	0	0
435 Green Innovative			0	0	26,284,562	38,094,942	0	0	0
436 * Total Annual GPR			0	0	54,173,561	38,094,942	0	0	0

437 * Cumulative GPR

* Calculated values.

* Calculated values.